# The Synthesis of Novel Comb Shaped and Chiral Amphiphilic Polymers

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# ABSTRACT

In recent years, intensive research efforts have been committed to studying Amphiphilic polymers, particularly in the pharmaceutical applications. These polymers have ability to form a different macromolecular architecture in the aqueous solution such as polymeric micelles.

Novel comb-shaped, chiral and fluorescent amphiphilic polymers are important for pharmaceutical applications including hydrophobic drug solubilization.

In this thesis, four chiral, comb–shaped and fluorescent novel amphiphilic polymers were synthesized from chitosan and perylene diimide. The compounds were analyzed using FTIR, UV-vis and Emission spectroscopy.

Keywords: Amphiphilic polymer, comb shaped, fluorescent chitosan

Son yıllarda, özellikle farmasötik uygulamalarda, amfifilik polimerler ile ilgili yoğun araştırmalar yapılmaktadır. Bu polimerler, polimerik miseller gibi sulu çözeltide farklı bir makromoleküler yapı oluşturabilme özelliğine sahiptirler.

Yeni tarak şeklinde ve kiral amfifilik polimerler, hidrofobik ilaç çözünürlüğü bakımından farmasötik uygulamalarda önemlidirler.

Bu tez çalışmasında, kiral ve tarak yapısı özellikleriyle dört yeni amfifilik polimerler sentezlenmiştir. Bileşikler, FTIR, UV-vis ve Emisyon spektroskopisi kullanılarak analiz edilmiştir.

Anahtar Kelimeler: Amfifilik polimer, tarak yapısında, floresan kitosan

# To My Parents

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# LIST OF ABBREVIATIONS

Å	Armstrong
А	Absorption
AP <sub>s</sub>	Amphiphilic Polymers
CAC	Critical aggregation concentration
CHL	Chloroform
СМС	Critical micelle concentration
СР	Comb polymer
СН	Chitosan
DD	Deacetylation degree
DMF	Dimethylformamide
DMSO	Dimethl sulfoxide
Eqn.	Equation
Es	Singlet energy
FT-IR	Fourier Transform Infrared Spectroscopy
IR	Infrared Spectrum/Spectroscopy
LCP	Lysine Perylene Diimide Conjugated Chitosan
Μ	Molar concentration
Mw	Molecular Weight
max	Maximum
min	Minimum
mmol	Millimole
mol	Mol
OLED	Organic light emitting diodes

OLED Organic light emitting diodes

OFETs	Organic field effect transistors
$\Phi_{\mathrm{f}}$	Fluorescence quantum yield
PDA	Perylene-3,4,9,10-tetracarboxylic dianhydride
PDI	Perylene Diimide
Std.	Standard
UV	Ultraviolet
UV-Vis	Ultraviolet, visible light absorption
$\Delta\bar{\upsilon}_{1/2}$	Half-width (of the selected absorption)
$\upsilon_{max}$	Maximum wavenumber
$\lambda_{exc}$	Excitation wavelength

# **Chapter 1**

# **INTRODUCTION**

#### **1.1 Perylene Diimides and Polymers**

Perylene-3,4,9,10-tetracarboxylic acid dianhydride, PDA and its derivatives have been widely utilized in a spacious range of various applications as pigments [1], Opto-electronic nano devices [2], solar cell and the formation of supramolecular architectures [3]. Perylene diimides which also known as perylene-3,4,9,10tetracarboxylic acid diimides are one of the most stable PDA derivatives. In the beginning of 1910s, perylene diimides were synthesized by Kardos. In recent years, perylene diimides, PDIs has been employed as a substantial category of performance pigments [4], and as fluorescent dyes. PDIs also have been investigated widely of optical and optoelectronical applications [5].

Perylene diimedes and polymers are extensively studied because of their outstanding thermal and photochemical stabilization and high optical absorption and fluorescence characteristics. On the other hand, PDIs suffer from some disadvantages, the restricted processability owing to low solubility and aggregates in common organic solvents. As well as self quenching which leads to low fluorescence quantum efficiency in the solid state [6, 7].

To overcome PDIs limited solubility in aqueous medium and extend their application for bio-imaging purposes, it is compulsory to improve the solubility of PDI in aqueous medium [8]. Highly water soluble PDI can be achieved by lowering or prevent its  $\pi$ - $\pi$  interactions, it is reported that grafting sterically hindered substituents to the core of the perylene chemical structure at imide or bay position increase their solubility in aqueous environments Figure 1.1 [8]. Icil and her co-workers reported a set of highly water soluble PDI derivatives, which simply and easily synthesized [9].

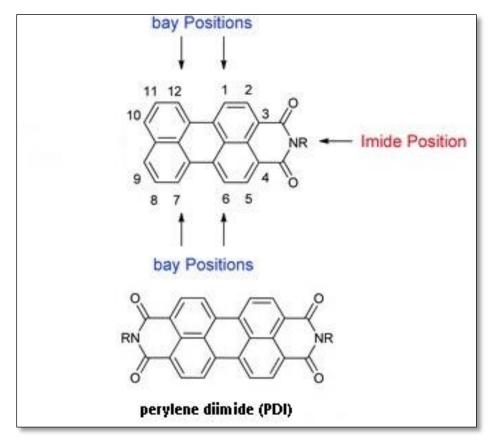


Figure 1.1: The Chemical Structure of PDI

Most perylene diimide based polymers display high optical absorption in the visible to near-infrared spectral and irradiate emissions above 500nm that made theme suitable molecules as acceptors chromophores in organic solar cell devices. Furthermore, some perylene derivatives have been assured to be cell permeable which may be useful in living cell imaging [10].

## **1.2 Chitosan polymer**

Chitosan (CH) is a biopolymer composed of 2-acetamide-2-deoxy-D-glucopyranose and 2-amino-2-deoxy-D-glucopyranose repeat units with  $\beta$  (1 $\rightarrow$ 4) linkages. This polysaccharide is mainly obtained from chitin by alkaline deacetylation. The chemical structures of chitin and chitosan are illustrated below Figure 1.2. Chitin is widely found in nature and existent in the crustacean shells and the cells of fungi and yeast. However, chitosan (CH) is more attractive than chitin as a poly cationic polymer for biomedical applications owing to its physicochemical and biological properties. [11, 12]. CH and its derivatives have positive characteristics of excellent biodegradability, nontoxic with environmental safety, thus giving opportunities for future development in various fields [13].

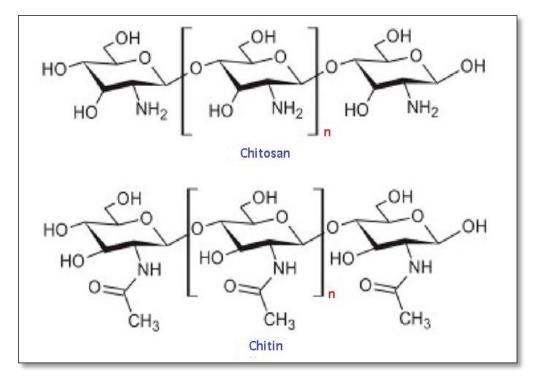


Figure 1.2: Chemical Structure of Chitin and Chitosan

The characteristics of chitosan depend extremely on the molecular weight (Mw) and the degree of deacetylation (DD), which are substantial factors in specifying chitosan. In fact, CH is mainly acquired at synthetic scale with different deacetylation degrees, therefore high quality products need a well characterized by chitosan properties for interesting applications [15, 16].

On the other hand, chitosan suffer from some disadvantages, the limited solubility of CH in water or organic solvents due to intermolecular hydrogen bonding and rigid crystallinity of its structure. However, CH is a polyamine and show solubility in aqueous dilute acids like formic, oxalic, lactic and acetic acids. The presence of amino groups on the CH backbone (-NH<sub>2</sub>) determine its solubility in dilute acids. The amino groups of chitosan could be protonated and turn into positively charged amino groups (-NH<sub>3</sub><sup>+</sup>) at PH values below 6. When the PH increases to values above than 6, amino groups become unprotected. As a result, CH loses its charge and become insoluble [17].

Many researchers have been made to overcome the drawbacks of CH. Several chemical modifications are carried out to convert chitosan to become water or organic solvent soluble derivatives for biological applications. The molecular structure of chitosan could modify by introducing some hydrophobic or hydrophilic agents into chitosan, such as cholesterol, deoxycholic acid, poly ( $\varepsilon$ -caprolactone), methoxy poly (ethylene glycol). As a consequence chitosan nanoparticles can be obtained by simple self-assembly that have been studied for biomedical applications such as drug delivery system [18,19].

## **13** Amphiphilic polymers

Amphiphilic polymers (APs) are able to form macromolecule structures in the hydrous medium. APs are consisted of two parts hydrophobic and hydrophilic regions within their structure by covalent bonds. The hydrophobic parts, authorise the formation of self-organize interface in hydrous medium (hydrophobic interaction), whereas the hydrophilic parts make the polymer soluble in the water (hydrophilic interaction). In recent years, intensive research efforts have been committed to studying Amphiphilic polymers, particularly in the pharmaceutical application [20].

Block copolymer is one of the most prevalent molecular structures of amphiphilic polymers which are invented by copolymerization of hydrophilic and hydrophobic segments. Carbohydrate polymers such as starch, hydroxyproplyl cellulose, and chi-tosan has been utilized to build comb shaped amphiphilic polymers by polymerization of hydrophilic and hydrophobic monomers. It is reported that, water-soluble homopolymers grafted with hydrophobic segments have attracted considerable regard in the biomedical applications. These comb polymers enable to form a variant macromolecular architecture in the hydrous medium like polymeric micelles, dense nano particles and vesicles [21].

Polymeric micelles fabricated from supramolecular species have generated growing attention, particularly in the biomedical application, including drug delivery and catalysis [22], due to their superb ability to self-assembly at interfaces and in aqueous surrounding (Figure 1.3).

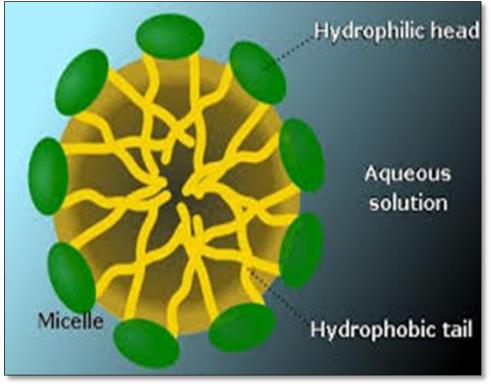


Figure 1.3: Schematic Illustration of Micelle Formation

The hydrophilic outer shell of the micelles exposed into the aqueous environment compose of components that are rarely reactive with blood or tissue components. As a result polymeric micelles allows to stay long time in the blood or tissues without being known by phagocytic cells or\and certain proteins. This property is an attractive feature of micelles as drug delivery, sensing, imaging and catalysis [20].

The cardinal aim of this study is to synthesize a chiral amphiphilic chitosan polymer having a comb shaped fluorescent perylene imide chromophores for pharmaceutical applications including hydrophobic drug solubilization Figure 1.4. The synthesized products were assigned by FTIR, UV-Visible, emission spectrum techniques. As well as the photophysical and optical properties were examined.

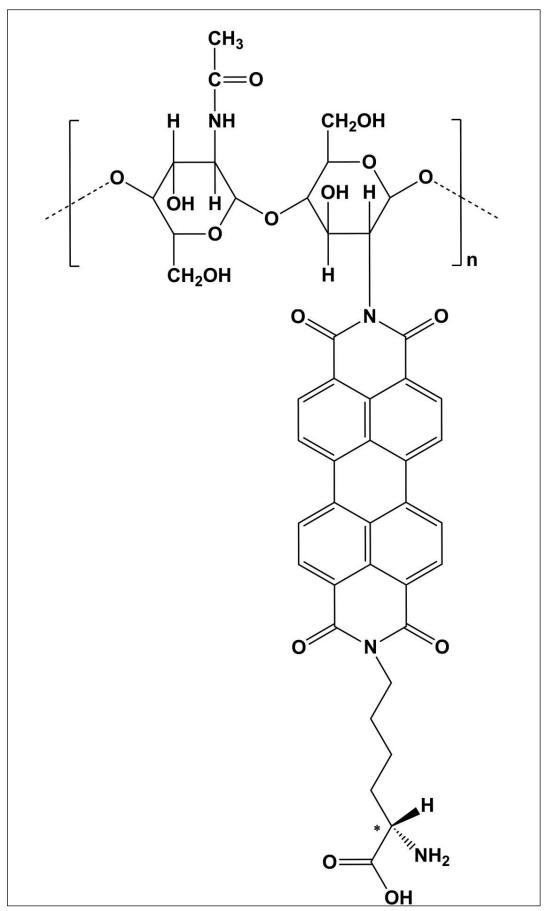


Figure 1.4: the Structure of Lysine Perylene Diimide Conjugated Chitosan (LCP)

# **Chapter 2**

# THEORETICAL

## 2.1 Synthesis and Application of perylene Diimides and Polymers

# 2.1.1 Synthesis

Aromatic polyimides had synthesized by Marston Bogert during 1900s. Aromatic polyimides were produced with high molecular weight in 1955 by through two step polycondensation recation of pyromellitic dianhydride with diamines [23]. During recent years, much attention has been taken to aromatic polyimides. Perylene diimides (PDIs) is one of the most important derivatives of this class which was obtained from perylene-3,4,9,10-tetracarboxylic dianhydride (PTCDA). Figure 2.1 shows the structure of PTCDA and PDIs [24].

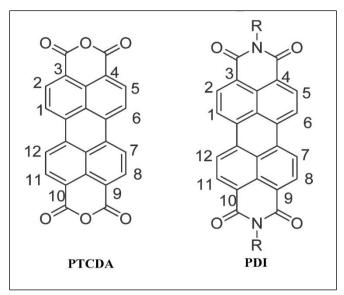
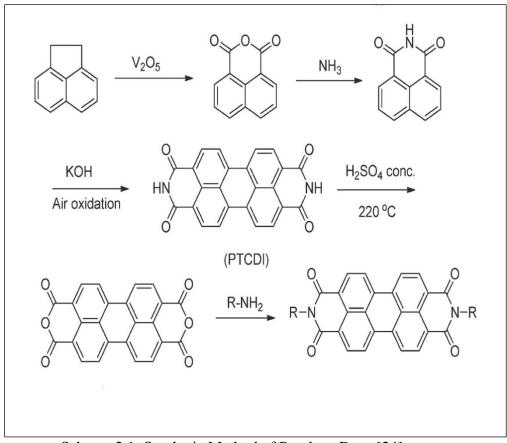


Figure 2.1: Chemical Structure of PTCDA and PDIs

In industrial setting PTCDA is obtained through a series of stages as presented in Scheme 2.1. PTCDA begins with  $V_2O_5$ -catalyzed air oxidation of acenaphthene to form 1,8-naphthalene dicarboxylic acid anhydride and afterward treated with ammonia NH<sub>3</sub> to give naphthalene-1,8-dicarboxylic acid imide. PTCDI is synthesized by mixing 1,8- naphthalene at 190-220°C, that resulted by air oxidation of molten compound. PTCDA is produced out of hydrolyses of perylene-3,4,9,10-tetracarboxylic diimide with conc. sulfuric acid at 220°C [24].



Scheme 2.1: Synthetic Method of Perylene Dyes [24]

## **2.1.2 Applications**

PDIs were used as industrial pigments. They are described as a high performance pigments primarily in the red and black shades, based on the chemical structure and molecular packing of the solid state [25]. The derivatives of PDIs were used in several applications due to their excellent properties, including near-unity photoluminescence quantum yield, strong absorption in the visible region, thermal, chemical, electrochemical, photochemical stability and strong electron-accepting capacity [26, 27]. Moreover PDIs are nontoxic and cheap material and are made by low energy technologies [24].

All these particular characteristics indicate the applicability of perylene diimides in the areas of optical and optoelectronical applications including fluorescent solar collectors organic solid state laser dyes, organic light emitting diodes (OLED), liquidcrystal offer color filters, organic field effect transistors (OFETs), optical sensors, photoconducting materials and as probes for biomacromolecules (proteins, DNA, RNA) [5, 9, 28].

Beside the outstanding features, PDIs have some disadvantages involved essentially poor solubility and tendency to aggregate in common organic solvents with themselves owing to  $\pi$ - $\pi$  stacking. Another drawback self quenching leading to low solidstate fluorescence quantum efficiency. Therefore, the photovoltaic conversion is limited [29, 6].

Two different methods have been used to reduce strong intermolecular  $\pi$ - $\pi$  interactions and molecular aggregation in PDIs. First one is introducing bulky substituents at the imide position and second method takes by advantage of using the present core position by grafting hydrophilic groups [9]. Erika kozma and her co-workers synthesized water-soluble amino acid functionalized perylene diimides and researched the effect of aggregates on the optical properties in organic and aqueous environment of opening the way to the development of PDI-based sensing platform [29].

## 2.2 Synthesis and Application of Chitosan polymers

## 2.2.1 Synthesis

Chitin is the most abundant polysaccharide found in the nature after cellulose. Chitin found particularly in the marine animals and in the cells of yeast and fungi. It is synthesized by a large number of living organisms as well [30]. CH is also considered as a natural polymer from renewable sources and obtained by fully or partially deacetylated form of chitin composed of linear 2-Amino-2-deoxy-D-glucose and 2acetamido-2-deoxy-D-glucose with  $\beta$  (1 $\rightarrow$  4) repeat units [7]. Professor C. Rouget discovered chitosan in 1859 when he treated chitin by alkali at high temperature. After it is cooked glucosamine units made upon the chitosan chain (Figure 2.2.A). All amazing properties of Chitosan back to the free amino groups on the Chitosan backbone. CH thrived during 1930 and 1940s. However, the expansion of synthetic medicines was gained by 1970 and has kept to extend ever since [31].

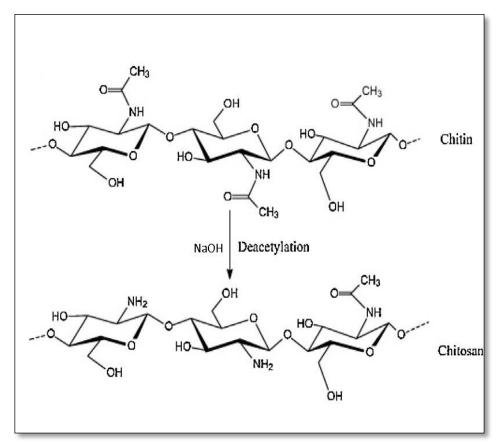


Figure 2.2.A: Conversion of Chitin to Chitosan by Deacetylation

Extraction and purification process of chitosan from shrimp shells which are thinner are widely employed for the production of chitosan by chemical method. Generally, shells of the same species and size are collected, cleaned, then dehydrated and split into small shell segments. Traditionally, three steps were interested in the purification process, (1) demineralization of chitosan. (2) deproteinization, (3) decolorization of chitosan. These steps can be achieved by utilizing chemical or biological (enzymatic) handling. Figure 2.2B explains the steps contributed in chemical and biological treatments of chitosan from crustacean shells. For biomedical or pharmaceutical applications high quality purification is need for the end products of Chitosan to avoid the dangerous side effects of pigments, minerals and residual proteins on the human body [32, 33].

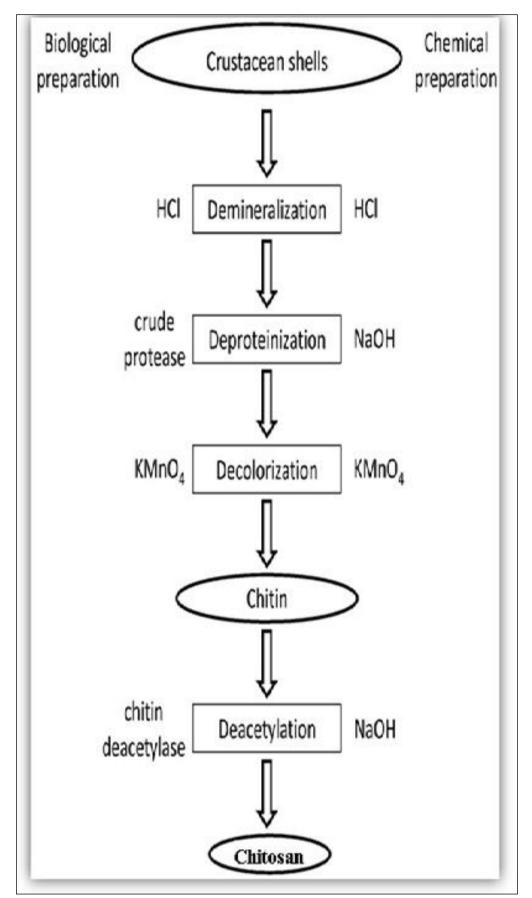


Figure 2.2.B: Schematic Representations of Chitosan Preparation From raw Materials.

#### **2.2.2 Applications**

Chitosan is one of the most attracted biopolymer due to its beneficial properties, biocompatibility, biodegradability, nontoxicity, hydrophilicity, antimicrobial property and film forming ability. All these intrinsic properties make it more interesting for several fields such as biomedicine, pharmaceutics, biotechnology, food science, cosmetics, textile, agriculture and water treatment [34, 35].

CH is used as an antimicrobial agent in numerous biomedical areas. For instance, wound dressing materials due to its ability to integrate into fiber, membrane and hydrogel. Also, the particular properties of chitosan, high surface-to-volume ratio, large porosity and diameter in the nanoscales are favorable for preparing wound dressing [36].

Furthermore, CH has been widely desired as a polymer for tissue design engineering because of its novel property which is large porosity, suitable pore space distributions. CH is also used as a carrier system by controlling delivery of anti HIV and cancer drug [37].

Additionally, CH is used to inhibit and delay the growth of bacteria in textile goods. For instance, water-soluble carboxy methyl chitosan is used to treat cotton fabric versus E.coli and S.aureus at 0.1% concentration. Moreover, wrinkle recovery was well improved. CH has been accepted as an aliment additive and as a compound of packaging substance to delay microorganism development and increase the goodness and expiry of food. In one study, it is observed that chewing gum containing chitosan restrainted the expansion of cariogenic bacteria in slaver effectively [38]. In recent years, chitosan film start to use for photovoltaic applications. Due to, the abundant groups such as free amine groups, carboxyl groups and hydroxyl groups on its backbone, CH could be considered as an electron donating bio-poly electrolyte and conductive polymer. In addition, CH might be a useful material for solar cells due to its other unique properties such as compatibility, nontoxicity, easy-handling, cheapness and high mechanical strength [39, 40].

#### 2.3 Comb Shaped Amphiphilic Polymers

Amphiphilic polymers have researched extensively since the beginning of 1990s. These APs consist of hydrophobic and hydrophilic parts within the same macromolecule to form super molecular structures. Comb shaped Amphiphilic polymer is the second most common amphiphilic polymers and their structure came from grafting or conjugating groups onto the polymer backbone. The hydrophobic segments consist of copolymers or homopolymers and the hydrophilic groups are often added to increase water solubility of these polymers, whereas the hydrophobic parts maintain the self assembly of the polymer in the aqueous surroundings due to hydrophobic interaction [21].

Amphiphilic polymer is accepted as one of the most significant polymer for biomedical and pharmaceutical fields because of their supramolecular formation ability in aqueous surroundings. Different supramolecular structures such as polymeric micells, dense nanoparticles and vesicles have been formed by these comb-shaped amphiphilic polymers. It is reported that carbohydrate polymers such as cellulose, starch and chitosan have been utilized to prepare comb shaped amphiphilic polymers for drugs [41]. Adjusting the structural components of APs has effected directly on the chemical and physical properties of the polymer. Therefore, the aim of this work is to synthesize a chiral amphiphilic chitosan polymer comb-shaped via Lysine-perylene monoimide. The chitosan back bone has a reactive side (amino groups) which can interact with the core active chain end (carbon atom within anhydride group) on the Lysine-perylene mono imide dye by substitution reaction, with a view to obtain an equilibrium between better solubility and the capability to shape stacks by expanded intermolecular  $\pi$  orbital overlap, which is extremely significant for photonics applications [42]. In addition *L*-Lysine is one of components of this comb-shaped polymer has chosen over other amino acids due to its chairly with various functional side groups and its roles in physiological processes. In this way, A novel comb-shaped and chiral amphiphilic polymer is prepared for pharmaceutical applications including hydrophobic drug solubilization. Schematic representation of comb-shaped polymer is shown in Figure 2.3.

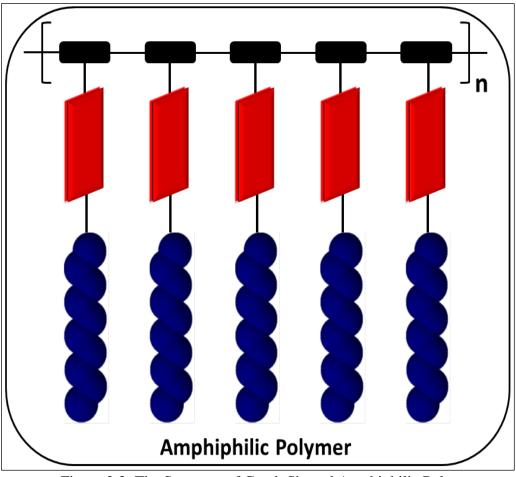


Figure 2.3: The Structure of Comb Shaped Amphiphilic Polymer

# 2.4 Polymer Hydrophobicity and Aggregation

The arrangement of the polar regions of molecules in a polar solvent such as water could be oriented outwards towards the solvent, whereas the nonpolar regions directed inward apart from the polar solvent by the hydrophobic effect. This can spontaneously attributed to the self-assemblies of molecules by non-covalent interaction, such as Van der Waals attractive forces between the molecules, which is called molecular aggregates [43].

In the past years, the interest was increased on amphiphilic polymers for applications envisaged in biological and pharmaceutical areas due to their particular property to self-assembles and aggregate behavior in aqueous environments. The self-assembling process involves amphiphilic polymer chains; hydrophobic segments, at most orient inside, and hydrophilic units are oriented in outer surfaces. Hydrogen bonding interaction and Van der Waals attractive forces play a role to increase the stability of folded structure [44].

The self-assembly of amphiphilic polymers into highly systematic aggregates that would produce required structures such as spherical micelles or spherical bilayer vesicles or rod like micelles [45]. The formation of these desired aggregate morphologies depends on molecular structures, shapes, sizes and the proportional fraction of hydrophilic and hydrophobic parts, as well as the solvent environments. It is reported that the nano aggregates of perylene diimides with specific morphology were obtained by self-assembly of variously shaped amphiphilic PDIs in liquid solutions [46].

The critical aggregation concentration (CAC) is the minimal concentration desired for polymeric aggregates to obtain in hydrous medium. Generally, block copolymers have a lower CAC value than comb-shaped amphiphilic polymers. This could be imputed to the formation of looser and larger aggregates in comb-shaped amphiphilic polymers [21].

## **2.5 Drug Delivery**

Drug delivery systems extend their biological effectiveness of their target sites and non-target sites, which generally give a rise to undesired side effects. A lack of selectivity and poor bio-distribution hampers their treatment of many diseases. This asserts the importance of existing selective active compounds within drug delivery systems to increase their efficiency of the disease treatments. So, one of the prime difficulties in drug delivery system is the evolution of drug carriers enhancing selective and delivery of therapeutics to tissue targets. For this aim, drug carriers must possess intrinsic properties such as, high stability in the blood, enough drug loading capacity, high selectivity at the target sites, suitable drug release protocols and biocompatibility [47, 48].

The importance of chitosan is increasing daily in drug delivery systems because of its outstanding properties. CH has been extensively used in different forms such as micelles, microspheres, tablets vaccines, hydrogels, nucleic acids, conjugates and nanoparticles. However, CH surface does not contain any hydrophobic segments therefore, several chemical modifications are carried out at its amino groups or glucosidic groups with hydrophobic substituents to increase its activity. CH micelles were formed with an internal hydrophobic center and an external hydrophilic shield. In aqueous solution, self-assembled core-shell nanostructures were formed by chitosan micelles. These nanoparticles present excellent biocompatible and biodegradable properties that have been examined widely as drug carriers [48, 49].

Recently, photoresponsive nanoparticles are increasingly being explored for their use in drug delivery systems due to their capability to dominate the release of bioactive molecules. It is reported that, perylene-3-ylmethanol nanoparticles which a singlecomponent photoresponsive nano carrier and was used as an anticancer drug [50]. The cardinal aim of this study is to combine chitosan polymer with one of perylene derivatives. The synthesis of an amphiphilic Chitosan polymer having a comb shaped fluorescent peryleneimide chromophores could be a useful compound for delivery of bioactive agents such as anticancer drug.

# Chapter 3

# **EXPERIMENTAL**

## **3.1 Materials**

*N*-((2*S*)-amino hexanoic acid)-3,4,9,10- perylene tetracarboxylic-3,4-anhydride-9,10imide (LPMI) [10]. Low molecular weight chitosan (CH), Zinc acetate, m-cresol and isoquinoline were obtained from Aldrich company. For spectroscopic measurements, spectroscopic solvents were used. Additionally, all the solvents were burifed by distillation.

## **3.2 Instruments**

## **Fourier Transform Infrared Spectra**

The synthesized compounds were recorded with KBr disk by employing a JASCO FT-IR spectrophotometer.

## **Ultraviolet Absorption spectra**

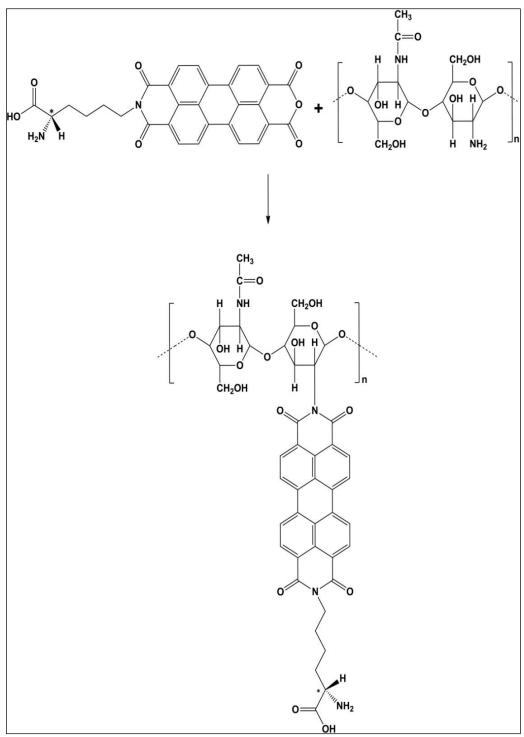
All the synthesized compounds were investigated in different solvents by using Varian Cary-100 Spectrophotometer.

## **Emission Spectra**

The synthesized compounds were investigated in various solvents by Varian Cary Eclipse Spectrophotometer.

#### **3.3 Methods of Synthesis**

N-((2S)-amino hexanoic acid)-3,4,9,10-perylene tetracabxylic-3,4-anhydride-9,10imide conjugated chitosan (LCP) derivatives were successfully synthesized via substitution reaction of N-((2S)-amino hexanoic acid)-3,4,9,10-perylene tetracabxylic-3,4-anhydride-9,10-imide (LPMI) and low molecular weight chitosan (CH) in mcresol and isoquinoline solvent mixture . Scheme 3.1 illustrates the general synthesis of LCP.



Scheme 3.1: General Synthesis of LCP

# 3.4 Synthesis of *N*-((2*S*)-amino hexanoic acid)-3,4,9,10-perylene tetracaboxylic-3,4-anhydride-9,10-imide conjugated chitosan(LCP1)

*N*-((2*S*)-amino hexanoic acid)-3,4,9,10-perylene tetracarboxylic-3,4-anhydride-9,10imide (LPMI) (0.034 g, 0.0653 mmol), low molecular weight chitosan (CH) (0.782 g, 4.852 mmol) and zinc acetate (0.014 g, 0.0638 mmol) were stirred in an accurately dried solvent mixture of isoquinoline (8 mL) and m-cresol (40 mL) under argon atmosphere at 80 °C for 4 h, at 120 °C for 6 h, at 140 °C for 2 h, at 180 °C for 2 h and finally at 200 °C for 2 h. The reaction solution was transferred into 300 mL of cold methanol. The solution was filtered by suction filtration. The synthesized crude product first washed with water and acetic acid (1 %), then the synthesized compound was purified by chloroform Soxhlet extraction for 24 h. After that, a vacuum at 100 °C was used to dry the pure product.

**Yield:** 0.296 g

Color: Black

**FT-IR** (**KBr**, cm<sup>-1</sup>): υ = 3384, 3049, 2922, 1687, 1656, 1597, 1444, 1336, 1269, 1069, 811, 739.

**UV-vis (DMF) (λ<sub>max</sub>/nm):** 487,522

**Fluorescence (DMF) (**λ<sub>max</sub>/nm): 534, 574, 625

 $\Phi_f$  (Fluorescence Quantum Yield, DMF): 0.5.

## **3.5** Synthesis of *N*-((2S)-amino hexanoic acid)-3,4,10-perylene tetracaboxylic-3,4-anhydride-9,10-imide conjugated chitosan (LCP2).

LPMI (0.066 g, 0.127 mmol), low molecular weight chitosan (CH) (0.8 g, 4.9640 mmol) and zinc acetate (0.028 g, 0.127 mmol) were stirred in an accurately dried solvent mixture of isoquinoline (8 mL) and m-cresol (40 mL) under argon atmosphere at 80 °C for 4 h, at 120 °C for 6 h, at 140 °C for 2 h, at 180 °C for 2 h and finally at 200 °C for 2 h. The reaction solution was transferred into 300 mL of cold methanol. The solution was filtered by suction filtration. The synthesized crude product first washed with water and acetic acid (1 %), then the synthesized compound was purified by chloroform Soxhlet extraction for 24 h. After that, a vacuum at 100 °C was used to dry the pure product.

Yield: 0.339 g

Color: Black

**FT-IR (KBr, cm<sup>-1</sup>):** υ = 3391, 3063, 2920, 2894, 1689, 1654, 1597, 1436, 1342, 1277, 1041, 811, 747.

UV-vis (DMF) ( $λ_{max}$ /nm): 456, 487, 522 Fluorescence (DMF) ( $λ_{max}$ /nm): 534, 574, 624 Φ<sub>f</sub> (Fluorescence Quantum Yield, DMF): 0.7.

## **3.6** Synthesis of *N*-((2S)-amino hexanoic acid)-3,4,10-perylene tetracaboxylic-3,4-anhydride-9,10-imide conjugated chitosan (LCP3).

LPMI (0.133 g, 0.255 mmol), low molecular weight chitosan (CH) (0.8 g, 4.9640 mmol) and zinc acetate (0.057 g, 0.26 mmol) were stirred in an accurately dried solvent mixture of isoquinoline (8 mL) and m-cresol (40 mL) under argon atmosphere at 80 °C for 4 h, at 120 °C for 6 h, at 140 °C for 2 h, at 180 °C for 2 h and finally at 200 °C for 2 h. The reaction solution was transferred into 300 mL of cold methanol. The solution was filtered by suction filtration. The synthesized crude product first washed with water and acetic acid (1 %), then synthesized compound was purified by chloroform Soxhlet extraction for 24 h. After that, a vacuum at 100 °C was used to dry the pure product.

Yield: 0.394 g

Color: Black

**FT-IR** (**KBr, cm<sup>-1</sup>**): υ = 3386, 3061, 2920, 2851, 1691, 1655, 1593, 1438, 1342, 1252, 1064, 809, 746.

UV-vis (DMF) (λ<sub>max</sub>/nm): 460, 488, 522 Fluorescence (DMF) (λ<sub>max</sub>/nm): 533, 573, 623

 $\Phi_{f}$  (Fluorescence Quantum Yield, DMF): 0.73.

## **3.7** Synthesis of *N*-((2S)-amino hexanoic acid)-3,4,10-perylene tetracaboxylic-3,4-anhydride-9,10-imide conjugated chitosan (LCP4).

LPMI (0.2 g, 0.384 mmol), low molecular weight chitosan (CH) (0.8 g, 4.9640 mmol) and zinc acetate (0.085 g, 0.387 mmol) were stirred in an accurately dried solvent mixture of isoquinoline (8 mL) and m-cresol (40 mL) under argon atmosphere at 80 °C for 4 h, at 120 °C for 6 h, at 140 °C for 2 h, at 180 °C for 2 h and finally at 200 °C for 2 h. The reaction solution was transferred into 300 mL of cold methanol. The solution was filtered by suction filtration. The synthesized crude product first washed with water and acetic acid (1 %), then the synthesized compound was purified by chloroform Soxhlet extraction for 24 h. After that, a vacuum at 100 °C was used to dry the pure product.

Yield: 0.416 g

Color: Black

**FT-IR (KBr, cm<sup>-1</sup>):** υ = 3386, 3061, 2922, 2852, 1692, 1655, 1593, 1438, 1342, 1252, 1066, 810, 746.

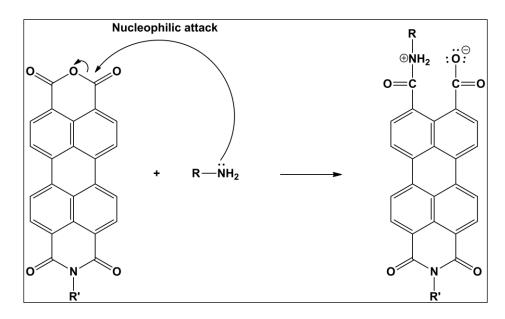
**UV-vis (DMF)** ( $\lambda_{max}/nm$ ): 487, 523.

Fluorescence (DMF) (λ<sub>max</sub>/nm): 534, 574, 625.

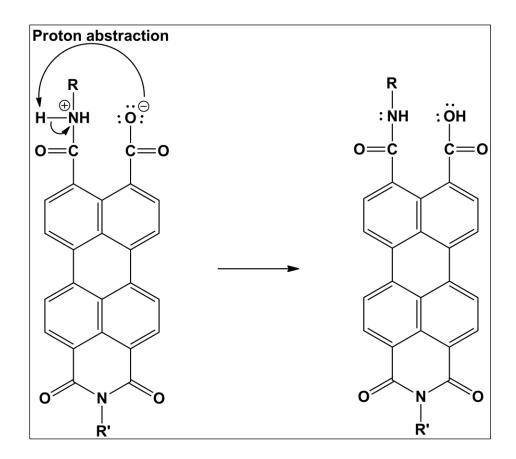
 $\Phi_{f}$  (Fluorescence Quantum Yield, DMF): 0.87.

#### **3.8** General Reaction Mechanism of PDI

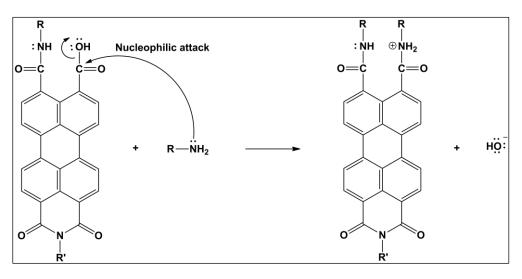
Step 1



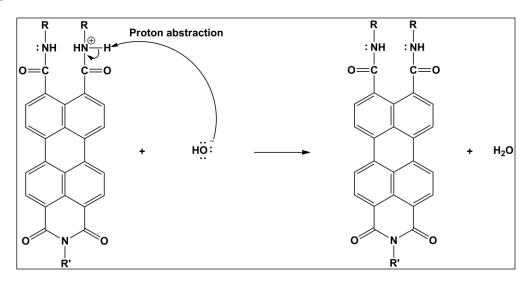




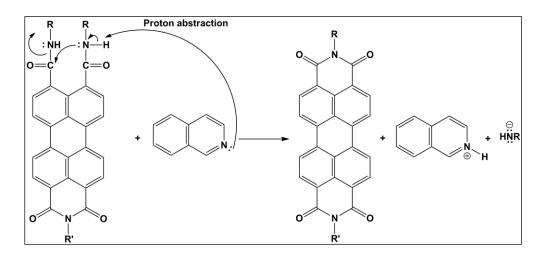




Step 4



Step 5



Step 6



#### **Chapter 4**

### **DATA AND CALCULATION**

#### **4.1 Optical and Photochemical Properties**

#### 4.1.1 Fluorescence Quantum Yield (Φ<sub>f</sub>)

If a chromophore absorbs a light, substantially excited state will formed. At the end process, this chromophore return to the ground state via deactivation processes (loss of energy). Different deactivation processes could occur, such as fluorescence emission, phosphorescence, internal conversion, energy transfer, etc (Figure 4.1).

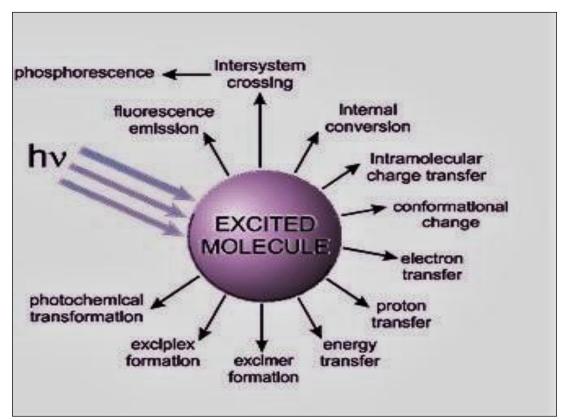


Figure 4.1: Possible Deactivation Pathways of Excited Molecules

Among these processes, fluorescence emission is the most important radiative process. ( $\Phi_f$ ) is the ratio of photons absorbed and emitted through the fluorescence emission process.

The comparative methodology is the most accurate process for recording  $\Phi_f$  which involved the application of completely characterized standard samples with recognized  $\Phi_f$  numeric values. Basically, standard solutions of knowing samples and unknown compound with similar absorbance at equal excitation wavelengths could be supposed to be absorbing the similar amount of light. Thus, the ratio of the integrated emission intensity of standard and unknown solutions would yield fluorescence quantum yield ratio [51].

$$\Phi_{\mathbf{f}}(\mathbf{U}) = \Phi_{\mathbf{r}} \times \frac{A_r}{A_u} \times \frac{S_u}{S_r} \times \left[\frac{n_u}{n_r}\right]^2$$
(Eqn. 4.1)

Where,

 $\Phi_{f}(U)$ : Fluorescence quantum yield of unknown  $\Phi_{r}$ : Fluorescence quantum yield of reference  $A_{r}$ : Absorbance of the reference at the excitation wavelength  $A_{u}$ : Absorbance of the unknown excitation wavelength  $S_{r}$ : Intergrated emission area across the band of reference  $S_{u}$ : Intergrated emission area across the band of unknown  $n_{r}$ : Refractive index of reference solvent  $n_{u}$ : Refractive index of unknown solvent In this thesis,  $\Phi_{f}$  of synthesized compounds were measured in various solvents by using *N*,*N*'-di(dodecyl)-3,4,9,10-perylenebis(dicaroximide) ( $\Phi_{f} = 1$ ) as reference in chloroform. ( $\lambda_{max}$ = 485 nm). Both, the reference and unknown compounds were excited at 485 nm wavelength.

 $\Phi_{\rm f}$  calculation of LCP4 in DMF

$$\begin{split} \Phi_{f=} & 1 \\ A_{r=} & 0.1003 \\ A_{u=} & 0.1065 \\ S_{u=} & 816.211 \\ S_{r=} & 851.81 \\ n_{r=} & 1.4458 \\ n_{u=} & 1.4305 \\ \Phi_{f} & (U) = 1 \times \frac{0.1003}{0.1065} \times \frac{816.211}{851.81} \times \left[\frac{1.4305}{1.4458}\right]^{2} \\ \Phi_{f} & (U) = 0.87 \end{split}$$

The fluorescence quantum yield of all the synthesized LCPs at various solvents were calculated by using the similar method. Table 4.1 shows the obtained  $\Phi_f$  values.

Solvent	LCP1	LCP2	LCP3	LCP4	
	$\Phi_{\mathrm{f}}$	$\Phi_{ m f}$	$\Phi_{ m f}$	$\Phi_{ m f}$	
NMP	0.21	0.38	0.25	0.22	
DMF	0.50	0.70	0.73	0.87	
DMAc	0.12	0.23	0.12	0.26	
DMSO	0.10	0.2	0.09	0.18	

Table 4.1: Fluorescence quantum yield values of LCPs

#### 4.1.2 Half-Width of Selected Absorption Band ( $\Delta \bar{\upsilon}_{1/2}$ )

The half-width of absorption band is described by the curve at half maximum intensity. The Equation 4.2 was used to determine the half-width of the selected maximum absorption of the synthesized compounds.

$$\Delta \bar{\mathbf{v}}_{1/2} = \bar{\mathbf{v}}_1 \cdot \bar{\mathbf{v}}_2 \tag{Eqn. 4.2}$$

Where,

 $\boldsymbol{\bar{\upsilon}_1, \bar{\upsilon}_2}\text{:}$  The wavenumber  $% \boldsymbol{\bar{\upsilon}_1, \bar{\upsilon}_2}$  from absorption spectrum

 $\Delta \bar{\upsilon}_{1/2}$ : Half-width of the selected maximum absorption

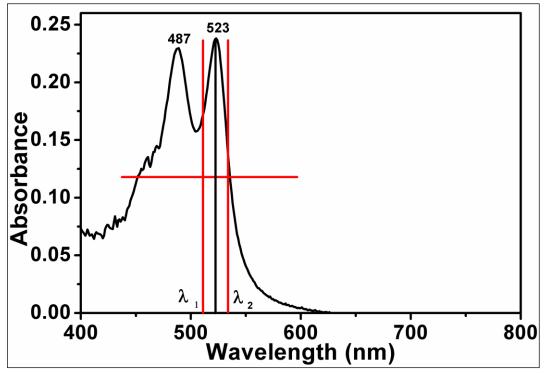


Figure 4.2: LCP4, Plot to deduce the Half-width (DMF)

From the Figure 4.2,

 $\lambda_{\text{max}} = 523 \text{ nm}$ 

Absorption of half-width = 0.122

$$\lambda_{1} = 508 \text{ nm}$$

$$\lambda_{2} = 530 \text{ nm} \lambda_{1} = 508 \times \frac{10^{-9}m}{1 \text{ nm}} \times \frac{1 \text{ cm}}{10^{-9}m} = 5.08 \times 10^{-5} \text{ cm}$$

$$\bar{\upsilon}_{1} = \frac{1}{\lambda 1} = \frac{1}{5.08 \times 10^{-5}} = 19685.04 \text{ cm}^{-1}$$

$$\lambda_{2} = 530 \text{ nm} \times \frac{10^{-9}m}{1 \text{ nm}} \times \frac{1 \text{ cm}}{10^{-2}m} = 5.3 \times 10^{-5} \text{ cm}$$

$$\bar{\upsilon}_{2} = \frac{1}{\lambda 2} = \frac{1}{5.30 \times 10^{-5}} = 18867.92 \text{ cm}^{-1}$$

$$\Delta \bar{\upsilon}_{1/2} = \bar{\upsilon}_{1} \cdot \bar{\upsilon}_{2} = 19685.04 \cdot 18867.92 = 817.12 \text{ cm}^{-1}$$

Similar steps were done to calculate the half-widths of LCPs in various solvents the results were represented in the following tab

Solvents		LC	CP1		LCP2 LCP3 LCP4			LCP3								
	λ <sub>max</sub> (nm)	$\lambda_1$ (nm)	$\lambda_2$ (nm)	$\frac{\Delta \bar{\upsilon}_{1/2}}{(\text{cm}^{-1})}$	$\lambda_{max}$ (nm)	λ <sub>1</sub> (nm)	$\lambda_2$ (nm)	$\frac{\Delta \bar{\upsilon}_{1/2}}{(\text{cm}^{-1})}$	$\lambda_{max}$ (nm)	λ <sub>1</sub> (nm)	$\lambda_2$ (nm)	$\frac{\Delta\bar{\upsilon}_{1/2}}{(\text{cm}^{-1})}$	$\lambda_{max}$ (nm)	$\lambda_1$ (nm)	$\lambda_2$ (nm)	$\frac{\Delta\bar{\upsilon}_{1/2}}{(\text{cm}^{-1})}$
NMP	524	512	554	1480.7	522	504	548	1593.1	524	506	550	1581	524	508	544	1302.7
DMF	522	504	542	1391.1	522	504	538	1253.9	522	504	540	1322.7	523	508	530	817.12
DMAc	523	502	562	2126.7	522	504	546	1526.2	524	508	550	1503.2	522	506	546	1447.8
DMSO	526	508	550	1503.2	526	508	524	601.07	526	512	550	1349.4	526	510	546	1292.8

Table 4.2: The half-widths of LCPs in various solvents

#### 4.1.3 Singlet Energies (*E*<sub>S</sub>)

For a fluorophore, singlet energy is the least amount of energy required to form excited state. Equation 4.3 was used to calculate the singlet energies of LCPs polymer in different solvents.

$$E_S = \frac{2.86 \times 10^5}{\lambda_{max}}$$
(Eqn. 4.3)

Where,

 $E_S$ : Singlet energy in kcal.mol<sup>-1</sup>

 $\lambda_{max}$ : The maximum absorption wavelength in Å

 $E_S$  calculation of LCP4 in DMF

At  $\lambda_{\text{max}} = 524 \text{ nm}$ 

 $\lambda_{\text{max}} = 524 \text{ nm} \times \frac{10^{-9} \text{m}}{1 \text{ nm}} \times \frac{1 \text{ Å}}{10^{-10} \text{m}} = 5240 \text{ Å}$  $E_{\text{S}} = \frac{2.86 \times 10^5}{10^{-5}}$ 

$$Es = \frac{2.00 \times 10}{5240}$$

 $Es = 54.58 \text{ kcal.mol}^{-1}$ 

The calculated values of singlet energies of LCPs in different solvents were repre-

sented in the following table.

	Ι	LCP1	L	CP2	L	LCP3	LCP4		
Solvent	$\lambda_{max}$	$\mathbf{E}_{\mathbf{s}}$	$\lambda_{max}$	$\mathbf{E}_{\mathbf{s}}$	$\lambda_{max}$	Es	$\lambda_{max}$	$\mathbf{E_s}$	
	(Å)	(kcal.mol <sup>-1</sup> )	(Å)	(kcal.mol <sup>-1</sup> )	(Å)	(kcal.mol <sup>-1</sup> )	(Å)	(kcal. mol <sup>-1</sup> )	
NMP	5240	54.58	5220	54.79	5240	54.58	5240	54.58	
DMF	5220	54.79	5220	54.79	5220	54.79	5230	54.68	
DMAc	5230	54.68	5220	54.79	5240	54.58	5220	54.79	
DMSO	5260	54.37	5260	54.37	5260	54.37	5260	54.37	

Table 4.3: The singlet energies of LCPs in various solvents

#### 4.1.4 Optical Band Gap Energies (Eg)

The optical band gap energy was deduced from the absorption spectrum of the substance by extrapolating the maximum absorbtion  $(0 \rightarrow 0$  absorption band) to zero absorbance. It was determined by using Equation 4.4 [52].

$$E_g = \frac{1240 \text{ eV nm}}{\lambda}$$
(Eqn. 4.4)

Where,

 $E_g$ : Band gap energy (eV)

 $\lambda$ : Cut-off wave length of the absorption band (nm)

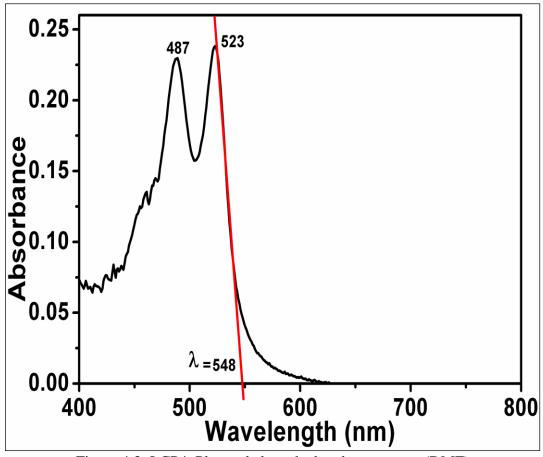


Figure 4.3: LCP4, Plot to deduce the band gap energy (DMF)

As shown in Figure 4.3

At  $\lambda = 548$  nm

$$E_g = \frac{1240 \text{ eV nm}}{548 \text{ nm}} = 2.263 \text{ eV}$$
  
 $E_g = 2.263 \text{ eV}$ 

The calculated values of singlet energies of LCPs in different solvents were summarized below in the Table 4.4.

LCP1			LCP2		LCP3			CP4
Λ	Eg	λ	$\mathbf{E}_{\mathbf{g}}$	λ	$\mathbf{E}_{\mathbf{g}}$		λ	$\mathbf{E}_{\mathbf{g}}$
(nm)	(eV)	( <b>nm</b> )	(eV)	( <b>nm</b> )	(eV)	(n	m)	(eV)
592	2.094	568	2.183	574	2.160	5	70	2.175
560	2.214	554	2.238	556	2.230	54	48	2.263
600	2.067	580	2.138	580	2.138	5	72	2.168
578	2.145	558	2.222	538	2.305	5	52	2.206
	(nm) 592 560 600	(nm)     (eV)       592     2.094       560     2.214       600     2.067	(nm)     (eV)     (nm)       592     2.094     568       560     2.214     554       600     2.067     580	(nm)       (eV)       (nm)       (eV)         592       2.094       568       2.183         560       2.214       554       2.238         600       2.067       580       2.138	(nm)         (eV)         (nm)         (eV)         (nm)           592         2.094         568         2.183         574           560         2.214         554         2.238         556           600         2.067         580         2.138         580	(nm)         (eV)         (nm)         (eV)         (nm)         (eV)           592         2.094         568         2.183         574         2.160           560         2.214         554         2.238         556         2.230           600         2.067         580         2.138         580         2.138	(nm)         (eV)         (nm)         (eV)         (nm)         (eV)         (n           592         2.094         568         2.183         574         2.160         57           560         2.214         554         2.238         556         2.230         54           600         2.067         580         2.138         580         2.138         57	(nm)         (eV)         (nm)         (eV)         (nm)         (eV)         (nm)           592         2.094         568         2.183         574         2.160         570           560         2.214         554         2.238         556         2.230         548           600         2.067         580         2.138         580         2.138         572

Table 4.4: Optical band gap energies of LCPs in different solvent

#### 4.1.5 Absorption Intensity Ratios

Absorption intensity ratio is described as the ratio of the absorption between  $0\rightarrow 0$ and  $0\rightarrow 1$  where  $0\rightarrow 0$  and  $0\rightarrow 1$  are vibronic transition. Aggregation of molecules can be indicated from intensity ratio values. When  $A^{0\rightarrow 0}/A^{0\rightarrow 1} \approx 1.6$ , the monomeric molecules display normal Franck-Condon progression. But, if  $A^{0\rightarrow 0}/A^{0\rightarrow 1} \leq 0.7$ , chromophors show strongly aggregation in solvents [10]. The intensity ratios of the LCPs polymers were calculated by using Equation 4.5.

Intensity ratio= 
$$R_{abs} = \frac{A^{0 \to 0}}{A^{0 \to 1}}$$
 (Eqn 4.5)

Where,

 $A^{0\to 0}$ : Absorption intensity of  $0\to 0$  vibronic transition  $A^{0\to 1}$ : Absorption intensity of  $0\to 1$  vibronic transition

 Table 4.5: Intensity ratios of LCPs in different solvent

Solvent	$\frac{\text{LCP1}}{\text{A}^{0\to 0}/\text{A}^{0\to 1}}$	$\frac{\text{LCP2}}{\text{A}^{0\to 0}/\text{A}^{0\to 1}}$	$\frac{\text{LCP3}}{\text{A}^{0\to 0}/\text{A}^{0\to 1}}$	$\frac{\text{LCP4}}{\text{A}^{0\to 0}/\text{A}^{0\to 1}}$
NMP	0.84	0.97	0.93	0.858
DMF	1.02	1.08	1.08	1.04
DMAc	0.97	0.94	0.91	0.86
DMSO	1.0	1.076	0.88	0.89

#### 4.1.6 Stokes Shifts

The difference between excitation and emission maximum called Stokes's shift which relatively indicate the amount of nonradiative energy that was lost. Stokes shifts of LCPs compounds was calculated and represented in the Table 4.6.

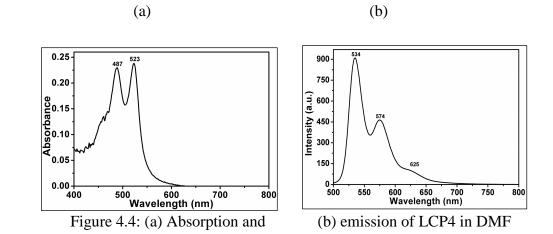


Table 4.6: Stokes shifts of LCPs in different solvents

Solvent	]	LCP1	L	CP2	]	LCP3	LCP4		
Solvent	Sto	kes Shift	Stok	es Shift	Sto	kes Shift	Stok	es Shift	
	( <b>nm</b> ) ( <b>cm</b> <sup>-1</sup> )		(nm)	$(\mathrm{cm}^{-1})$ $(\mathrm{nm})$ $(\mathrm{cm}^{-1})$		(cm <sup>-1</sup> )	(nm)	(cm <sup>-1</sup> )	
NMP	11	909,090	14	714,285	11	909,090	12	833,333	
DMF	12	833,333	12	833,333	12	833,333	11	909,090	
DMAc	10	1,000,000	11	909,090	9	1,111,111	11	909,090	
DMSO	12	833,333	12	833,333	13	769,230	14	714.285	

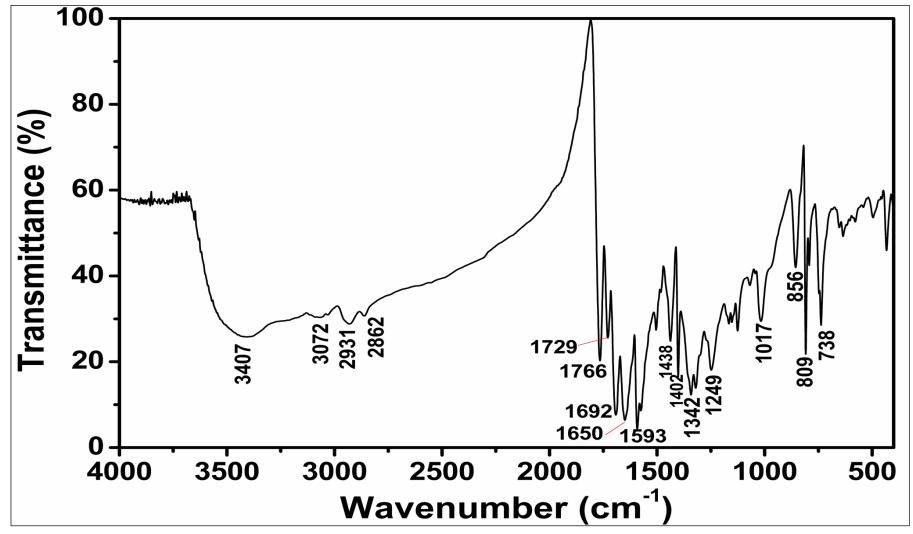


Figure 4.5: LPMI, FTIR Spectrum

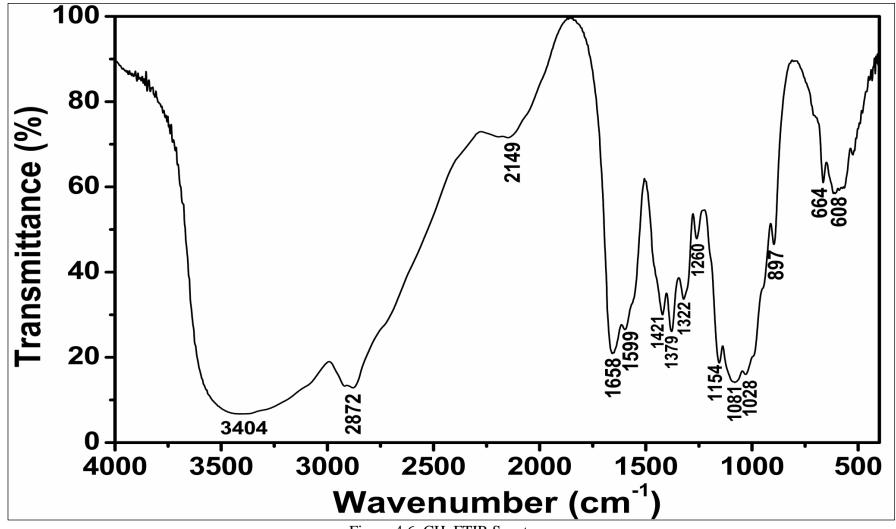


Figure 4.6: CH, FTIR Spectrum

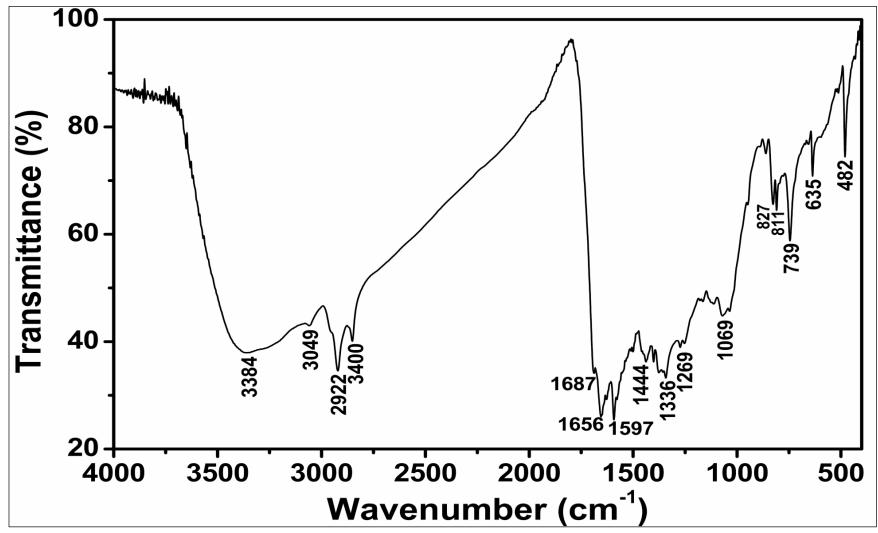


Figure 4.7: LCP1, FTIR Spectrum

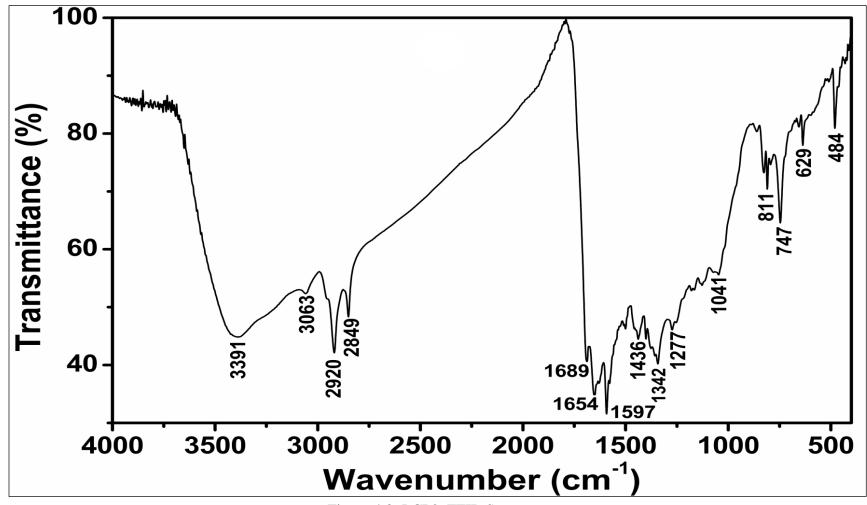


Figure 4.8: LCP2, FTIR Spectrum

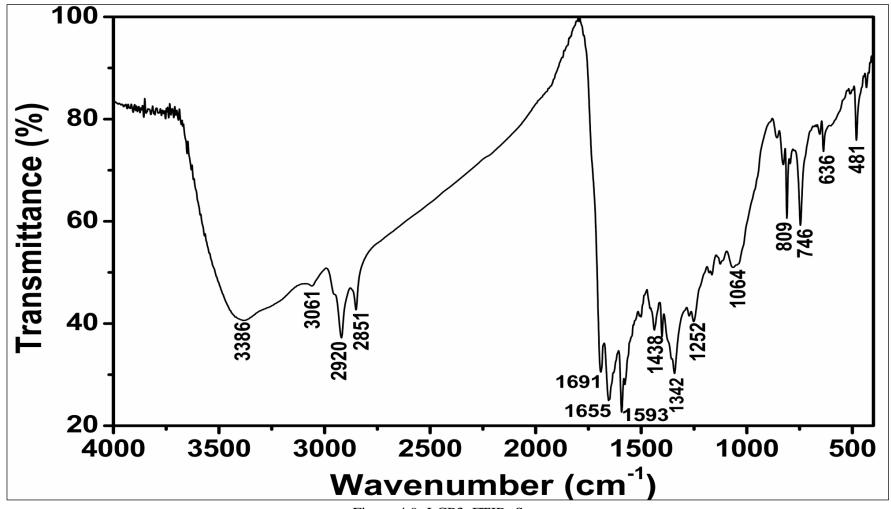


Figure 4.9: LCP3, FTIR Spectrum

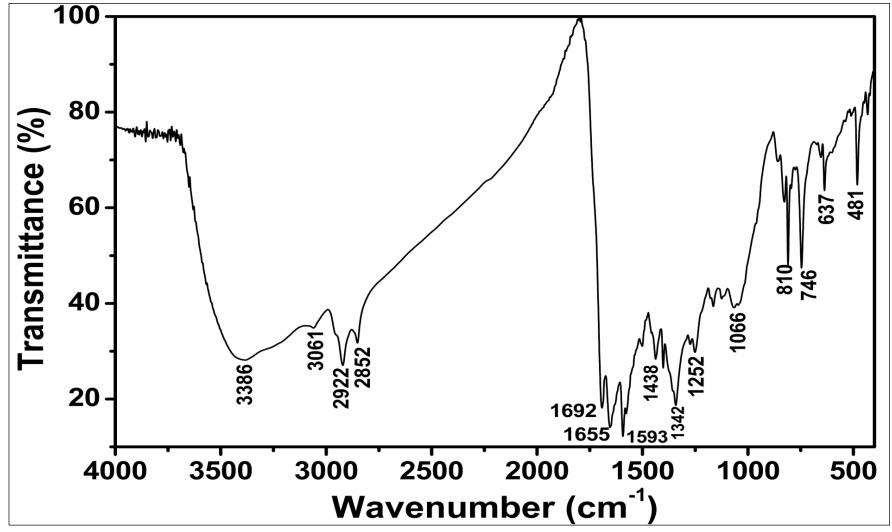


Figure 4.10: LCP4, FTIR Spectrum

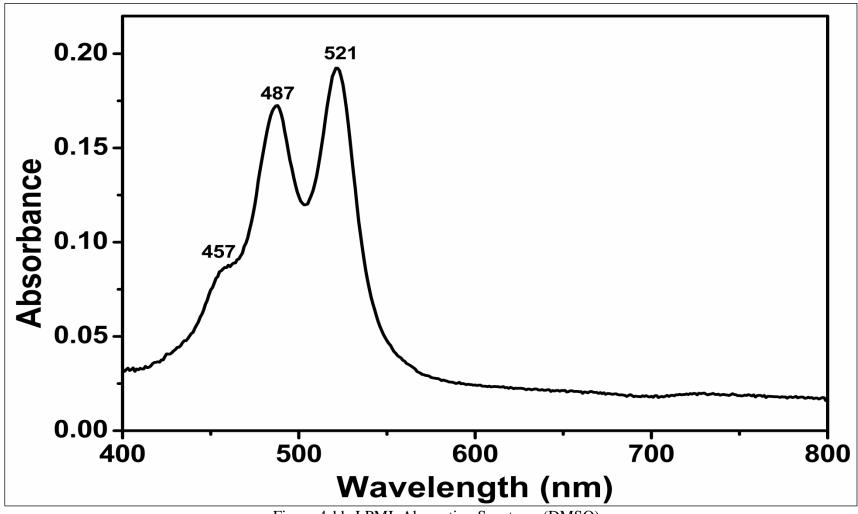


Figure 4.11: LPMI, Absorption Spectrum (DMSO)

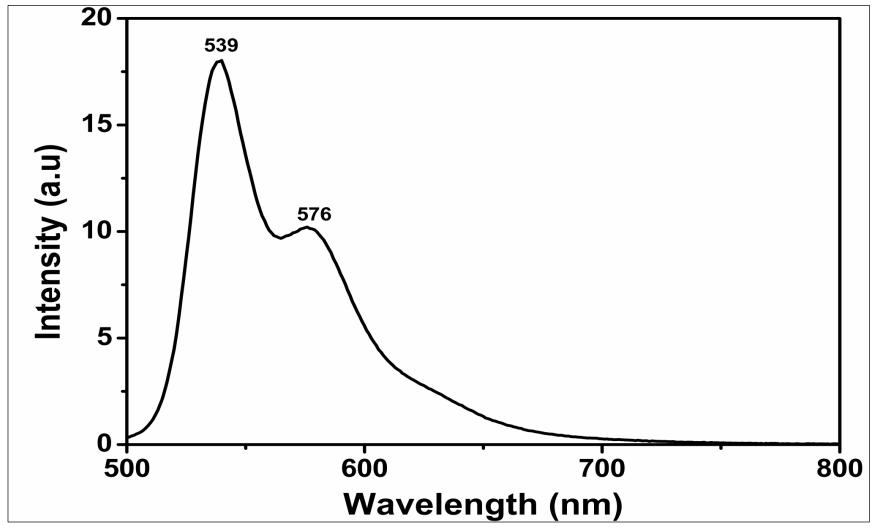


Figure 4.12: LPMI, Emission Spectrum (DMSO)

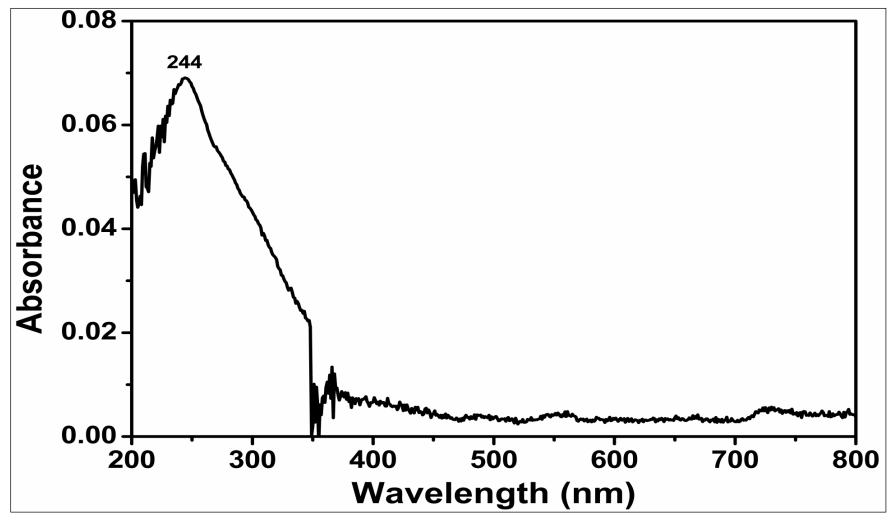


Figure 4.13: CH, Absorption Spectrum (1 % CH<sub>3</sub>COOH)

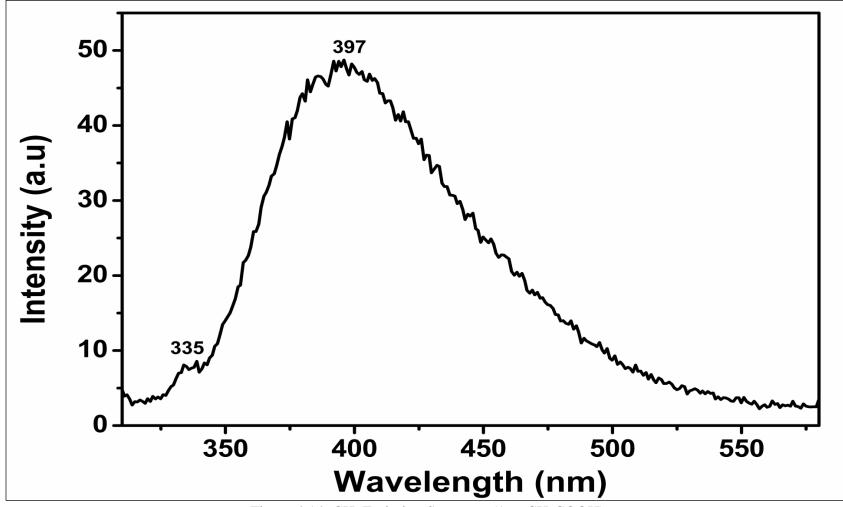


Figure 4.14: CH, Emission Spectrum (1 % CH<sub>3</sub>COOH)

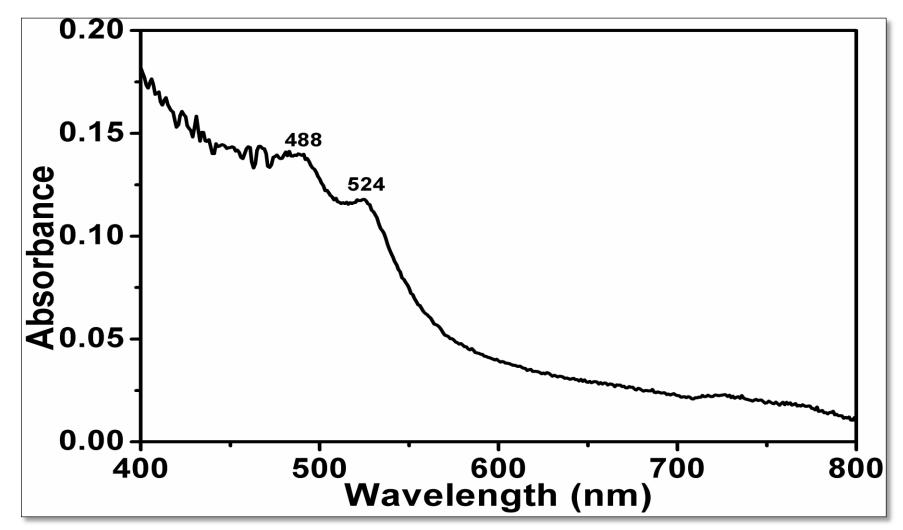


Figure 4.15: LCP1, Absorption Spectrum (NMP)

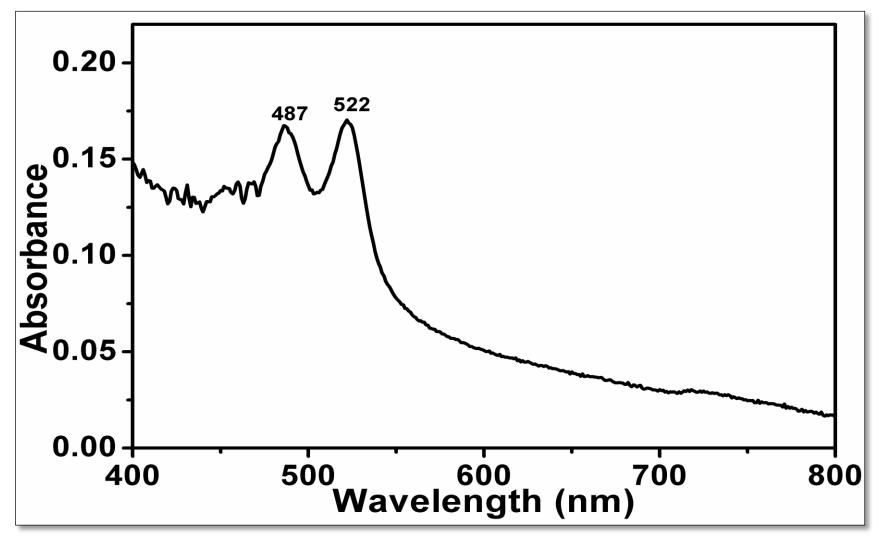


Figure 4.16: LCP1, Absorption Spectrum (DMF)

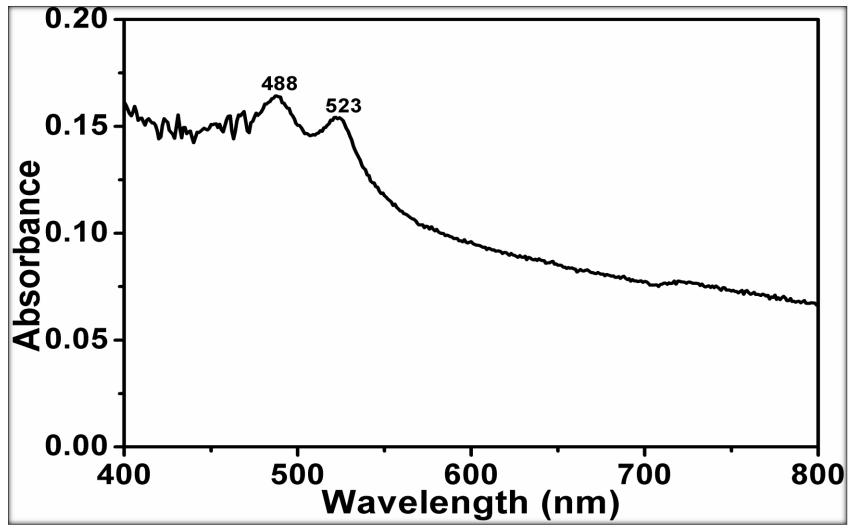


Figure 4.17: LCP1, Absorption Spectrum (DMAc)

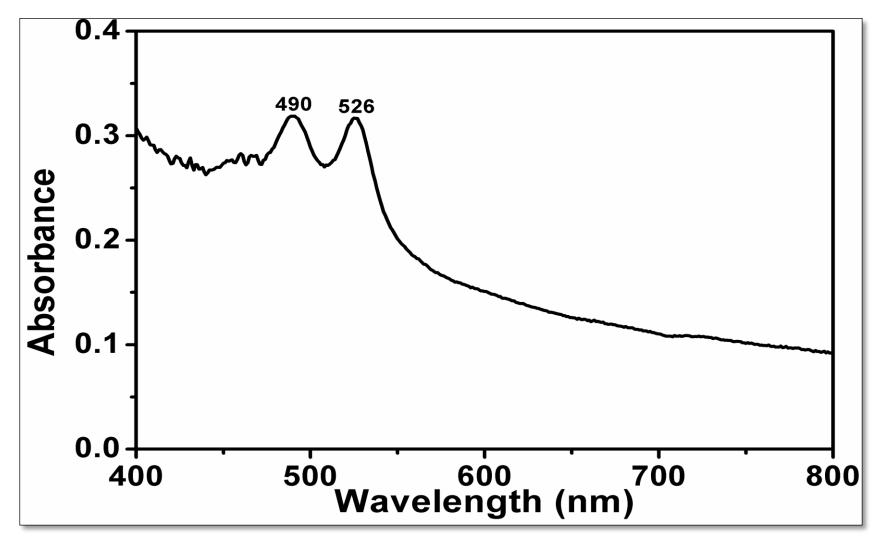


Figure 4.18: LCP1, Absorption Spectrum (DMSO)

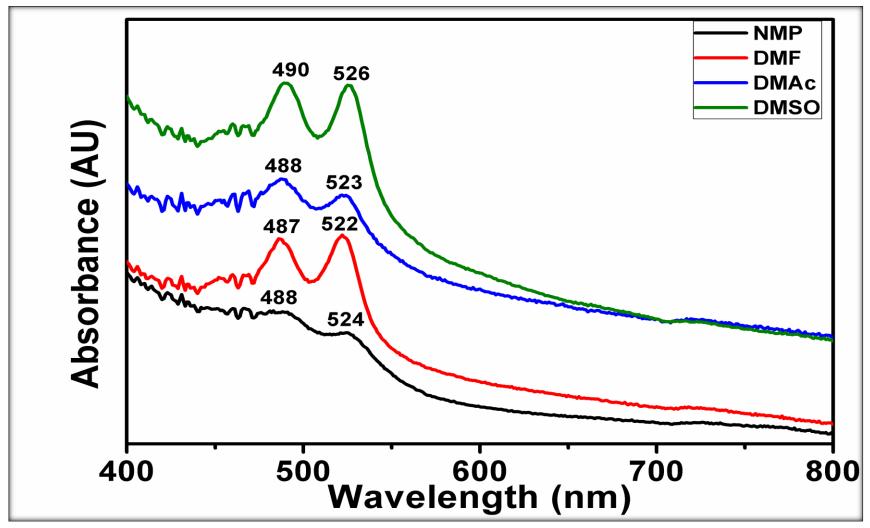


Figure 4.19: LCP1, Absorption Spectra (NMP, DMF, DMAc and DMSO)

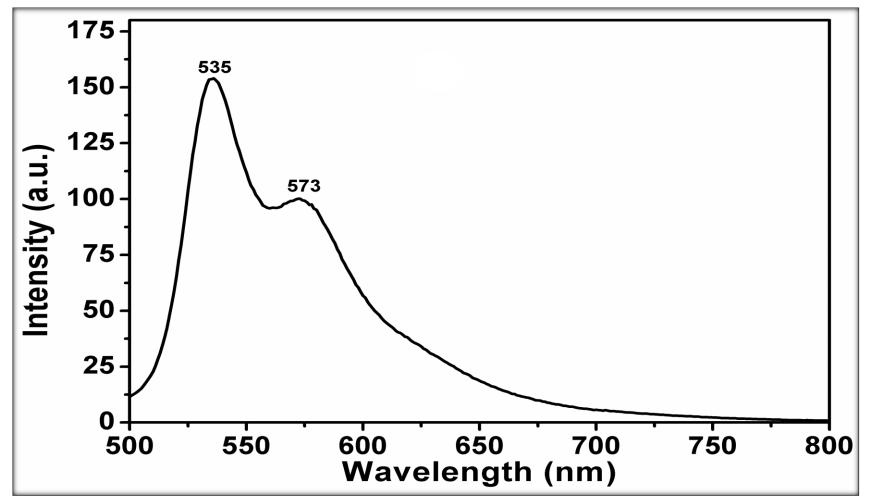


Figure 4.20: LCP1, Emission Spectrum (NMP)

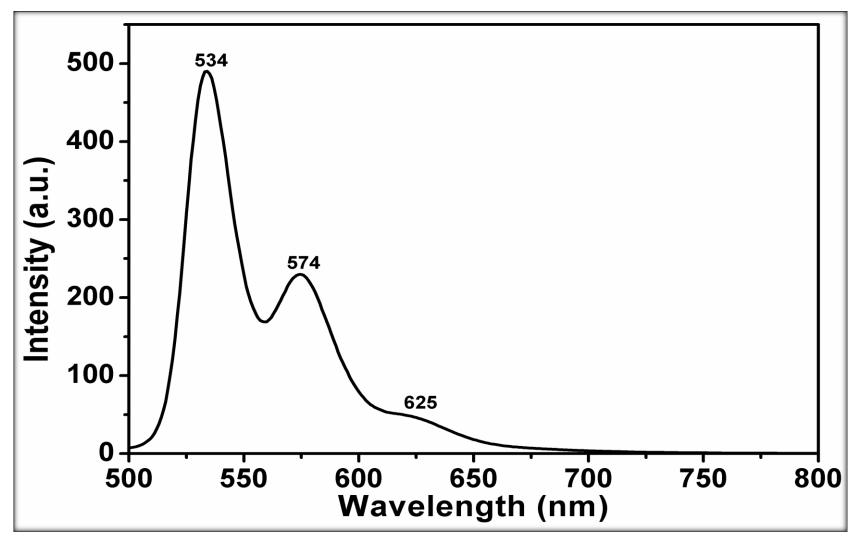


Figure 4.21: LCP1, Emission Spectrum (DMF)

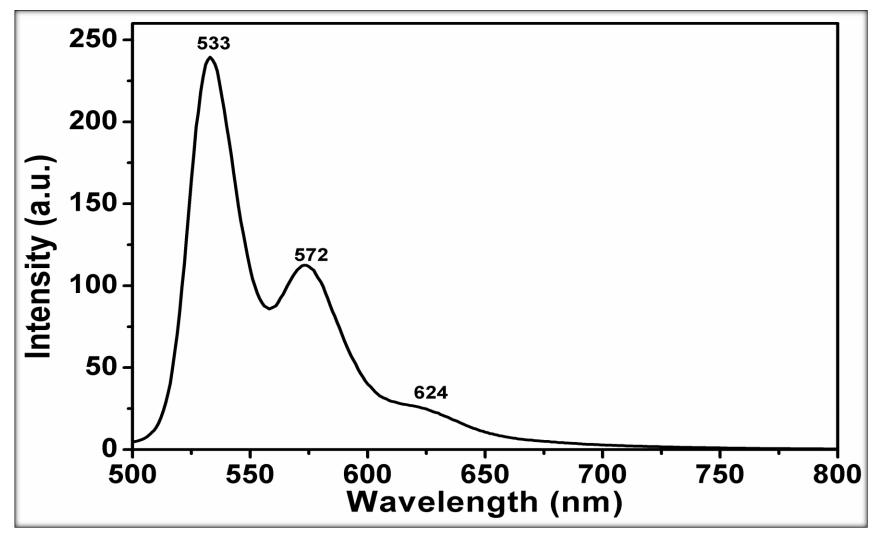


Figure 4.22: LCP1, Emission Spectrum (DMAc)

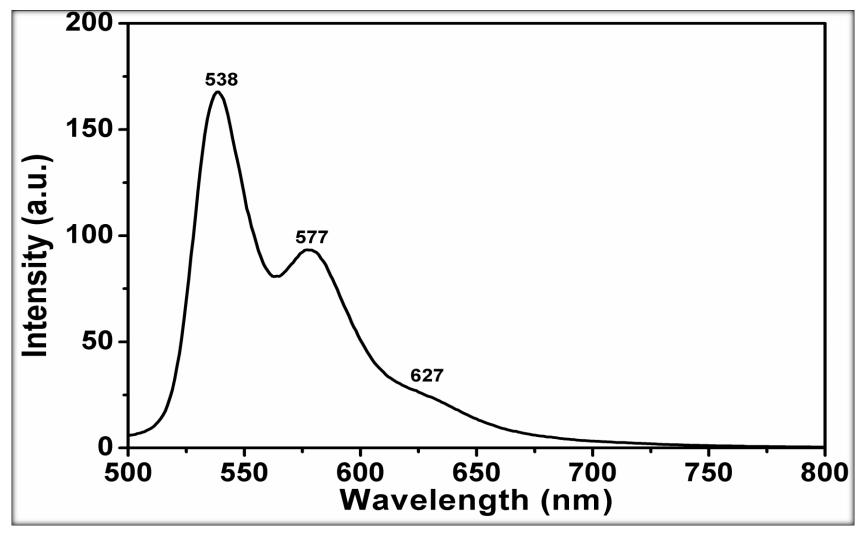


Figure 4.23: LCP1, Emission Spectrum (DMSO)

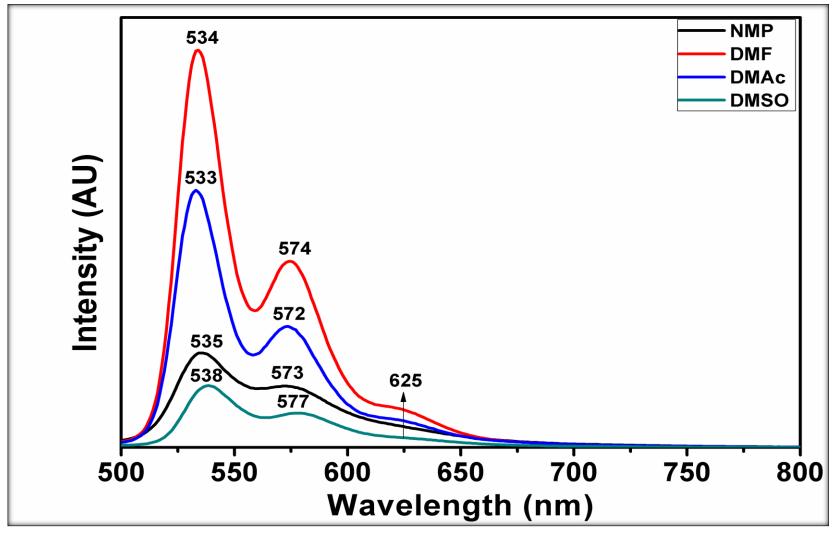


Figure 4.24: LCP1, Emission Spectra (NMP, DMF, DMAc and DMSO)

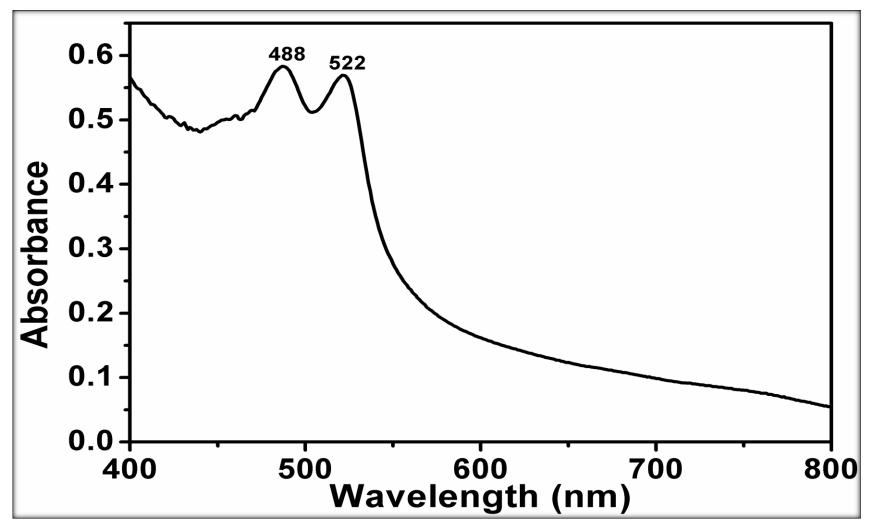


Figure 4.25: LCP2, Absorption Spectrum (NMP)

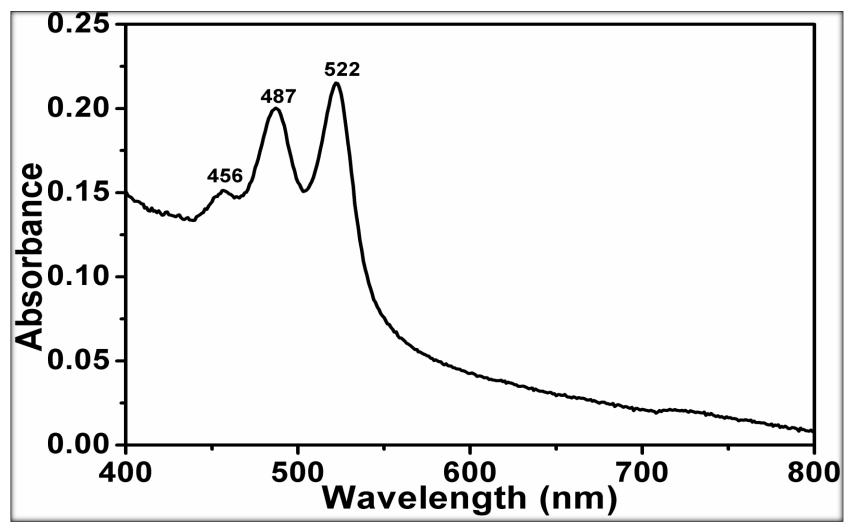


Figure 4.26: LCP2, Absorption Spectrum (DMF)

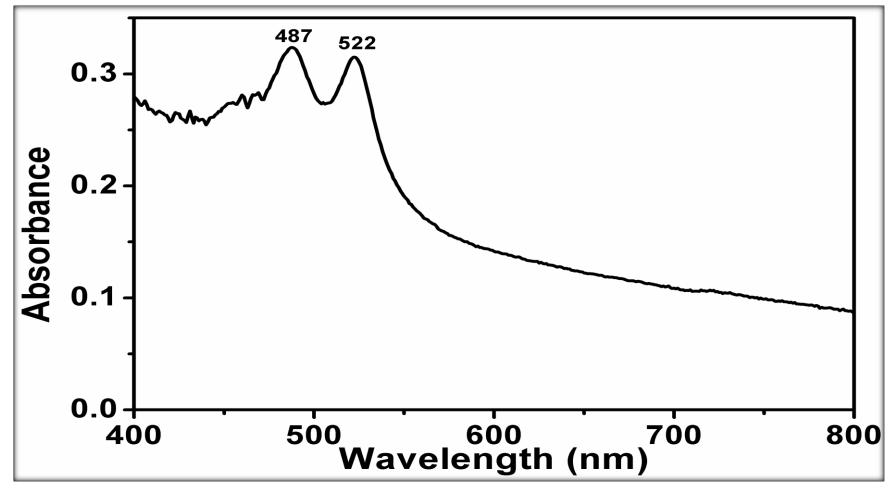


Figure 4.27: LCP2, Absorption Spectrum (DMAc)

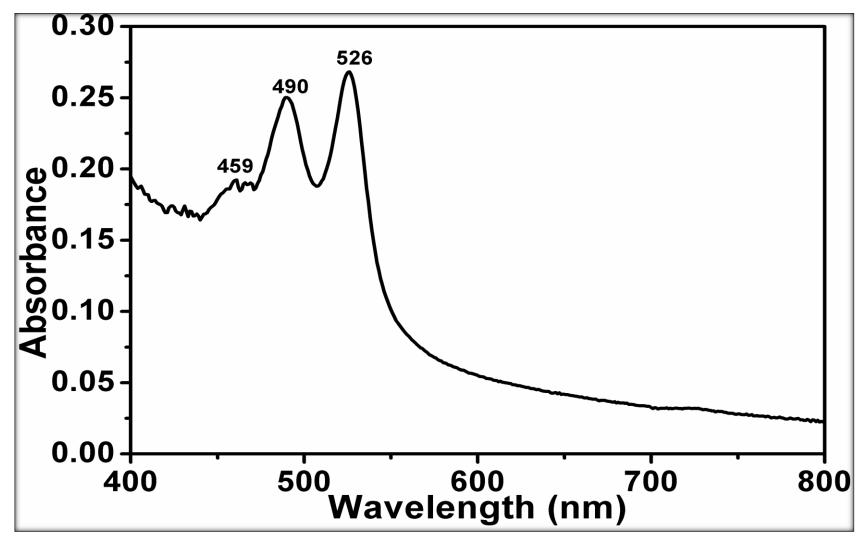


Figure 4.28: LCP2, Absorption Spectrum (DMSO)

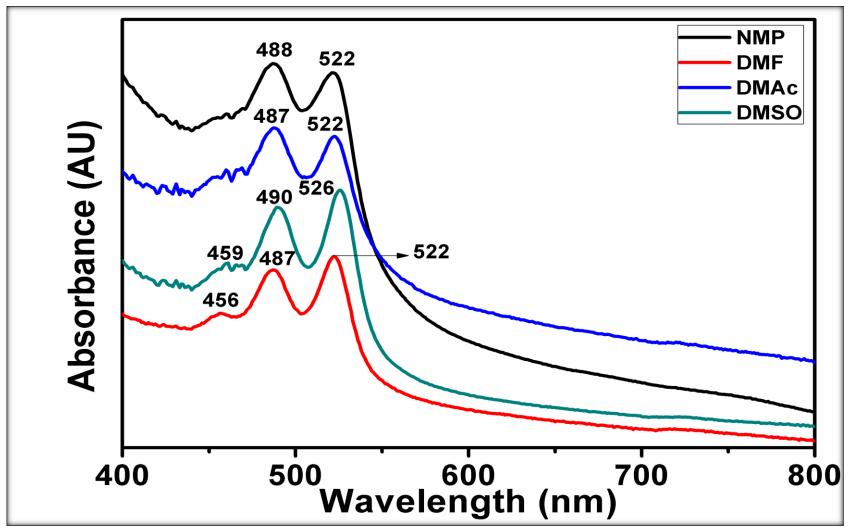


Figure 4.29: LCP2, Absorption Spectra (NMP, DMF, DMAc and DMSO)

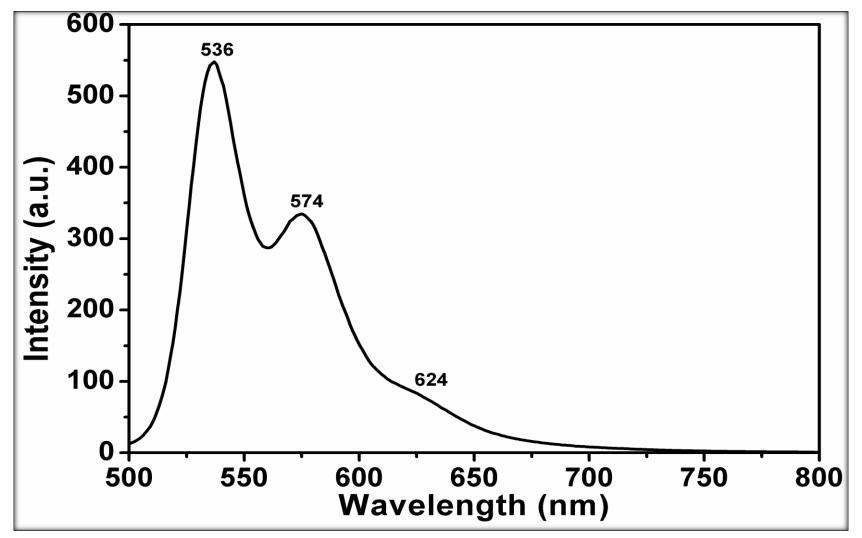


Figure 4.30: LCP2, Emission Spectrum (NMP)

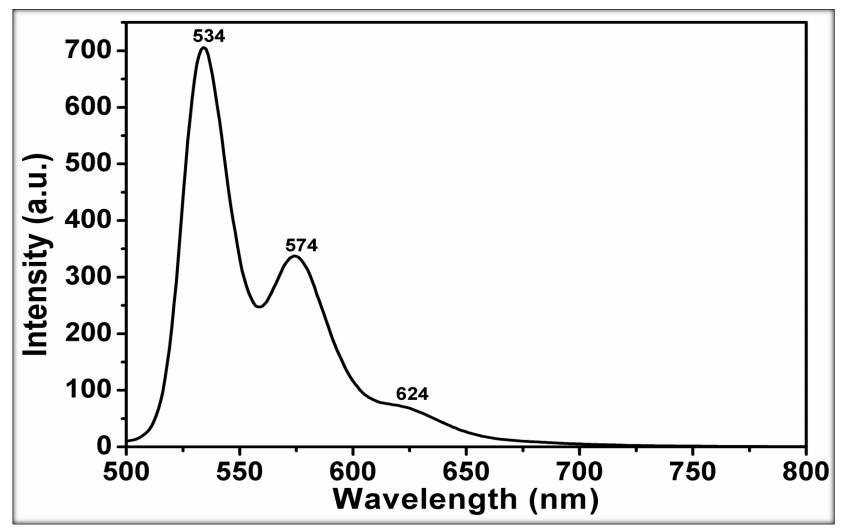


Figure 4.31: LCP2, Emission Spectrum (DMF)

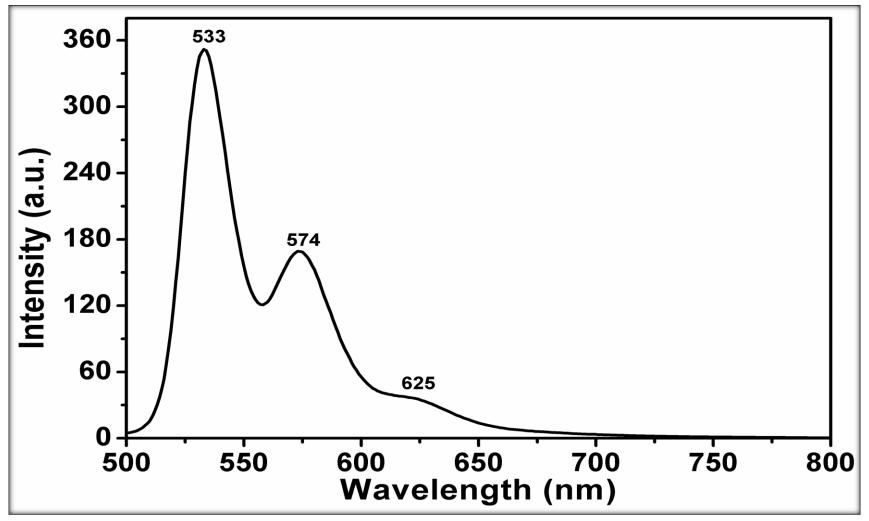


Figure 4.32: LCP2, Emission Spectrum (DMAc)

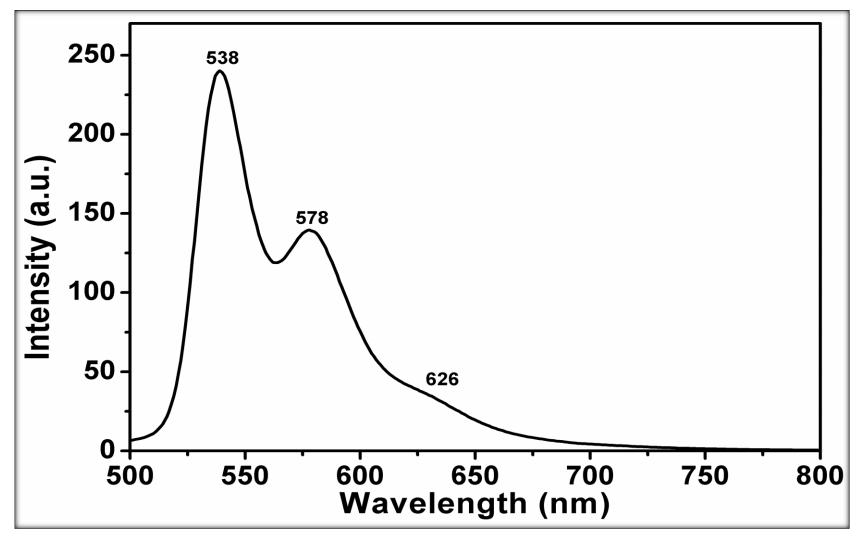


Figure 4.33: LCP2, Emission Spectrum (DMSO)

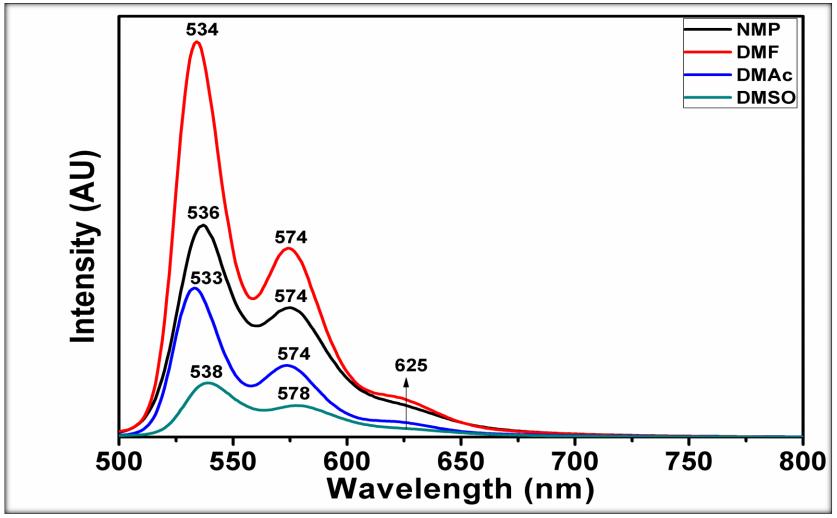


Figure 4.34: LCP2, Emission Spectra (NMP, DMF, DMAc and DMSO)

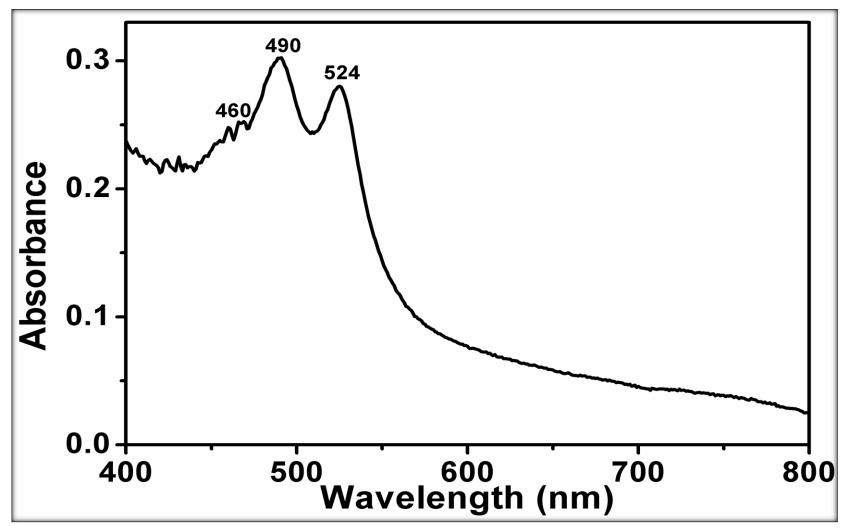


Figure 4.35: LCP3, Apsorption Spectrum (NMP)

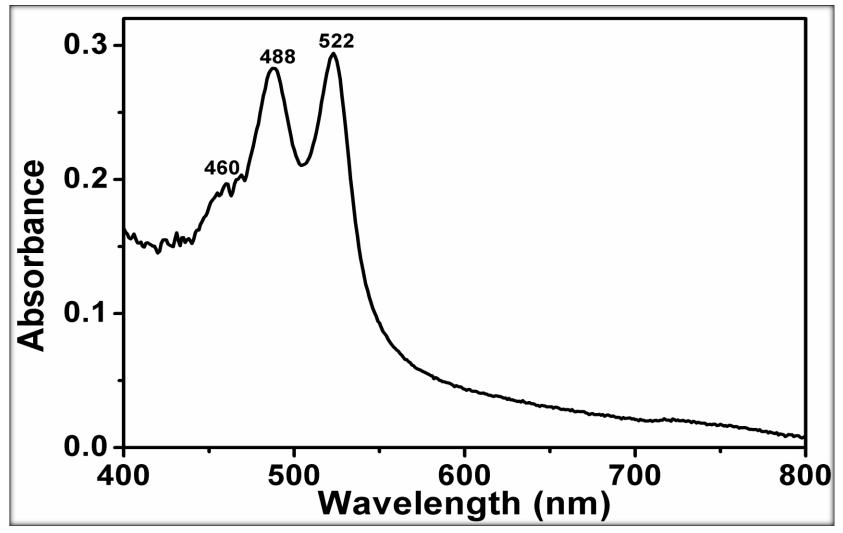


Figure 4.36: LCP3, Apsorption Spectrum (DMF)

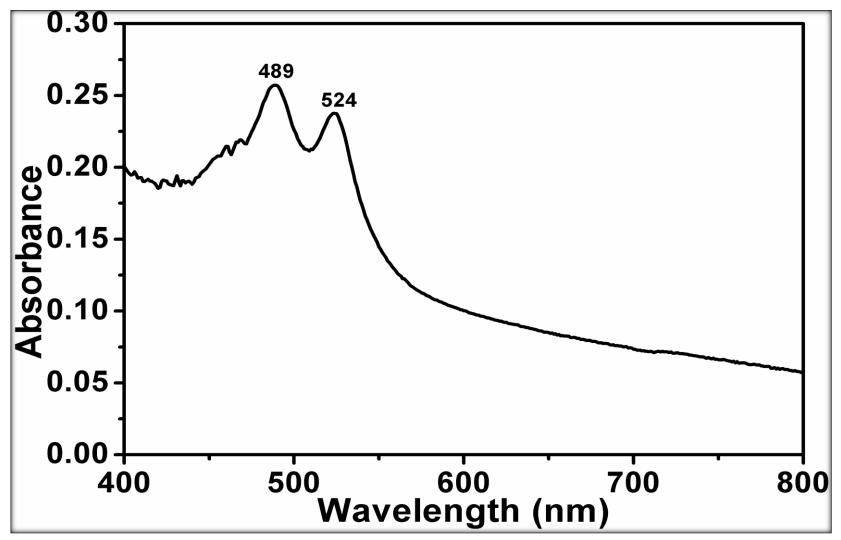


Figure 4.37: LCP3, Apsorption Spectrum (DMAc)

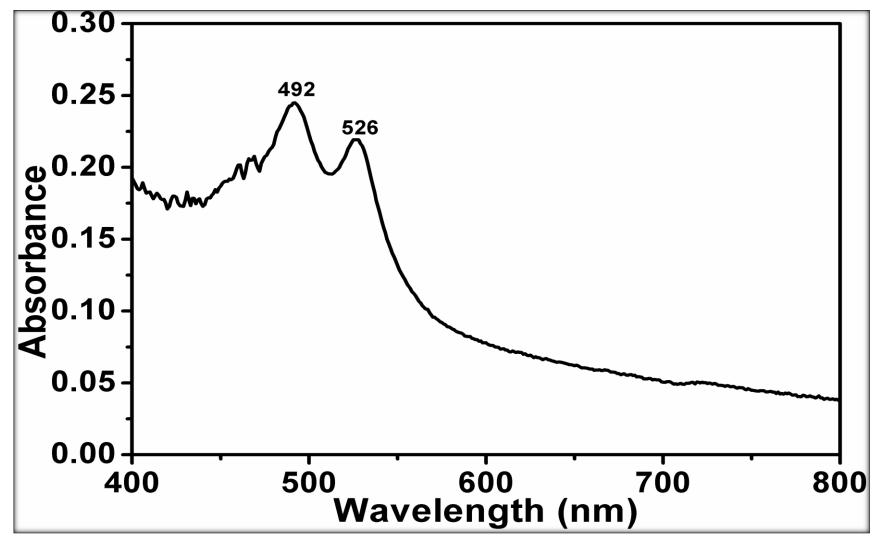


Figure 4.38: LCP3, Apsorption Spectrum (DMSO)

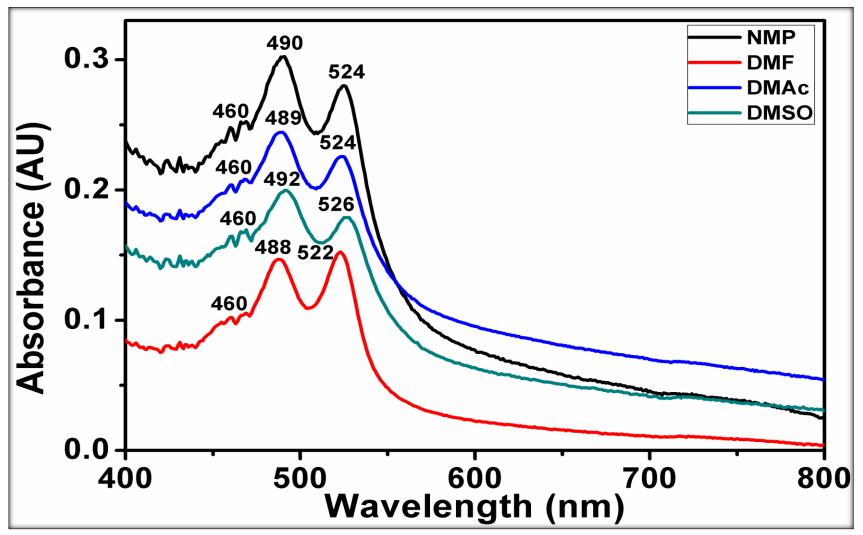


Figure 4.39: LCP3, Absorption Spectra (NMP, DMF, DMAc and DMSO)

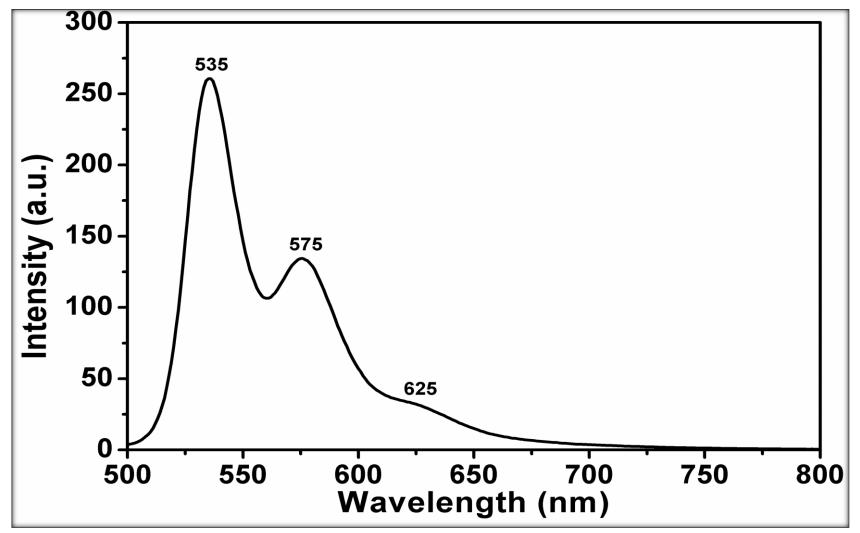


Figure 4.40: LCP3, Emission Spectrum (NMP)

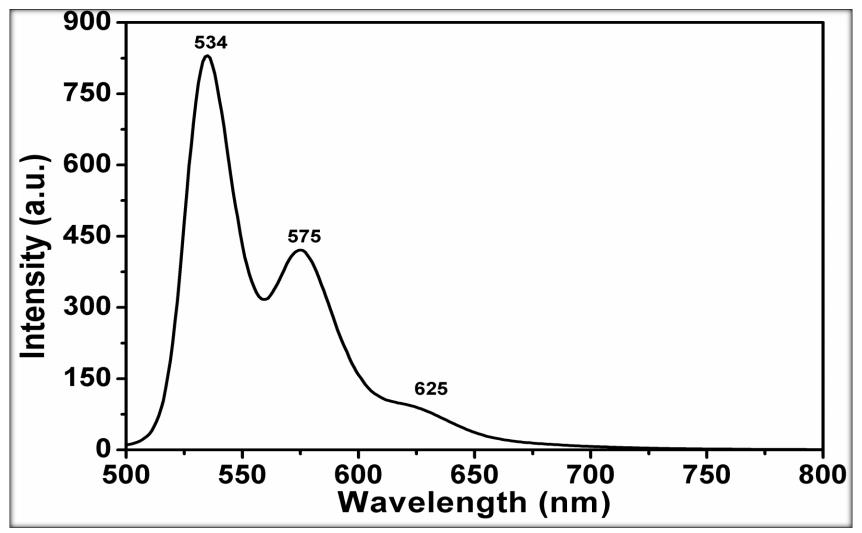


Figure 4.41: LCP3, Emission Spectrum (DMF)

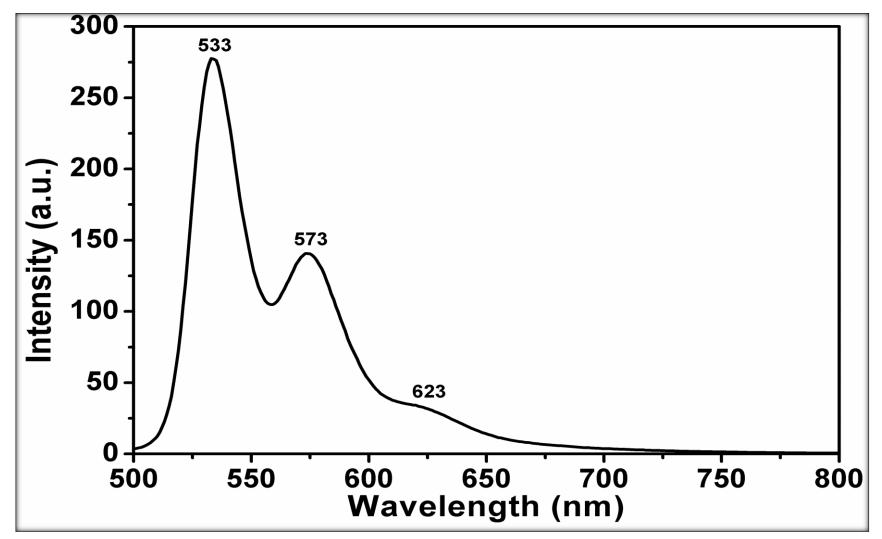


Figure 4.42: LCP3, Emission Spectrum (DMAc)

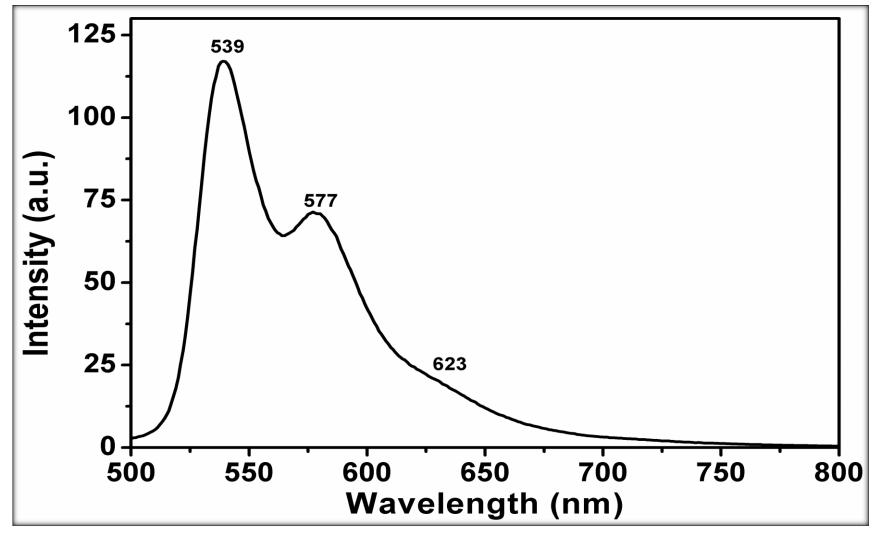


Figure 4.43: LCP3, Emission Spectrum DMSO

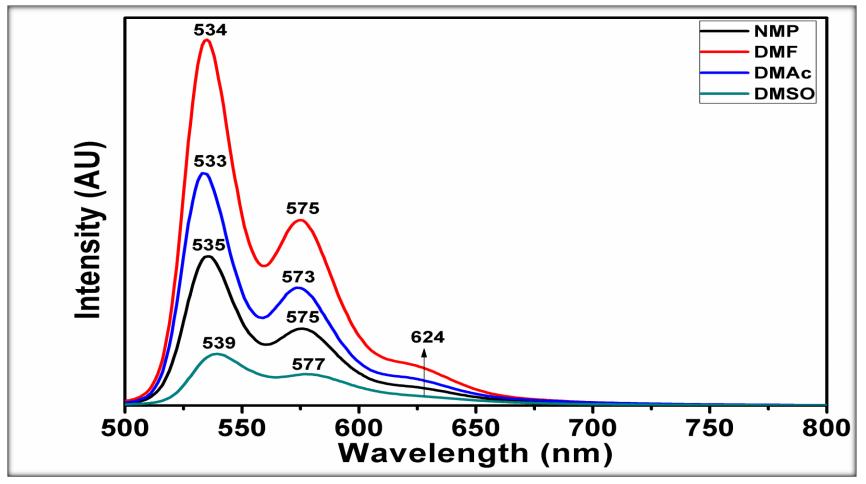


Figure 4.44: LCP3, Emission spectra (NMP, DMF, DMAc and DMSO)

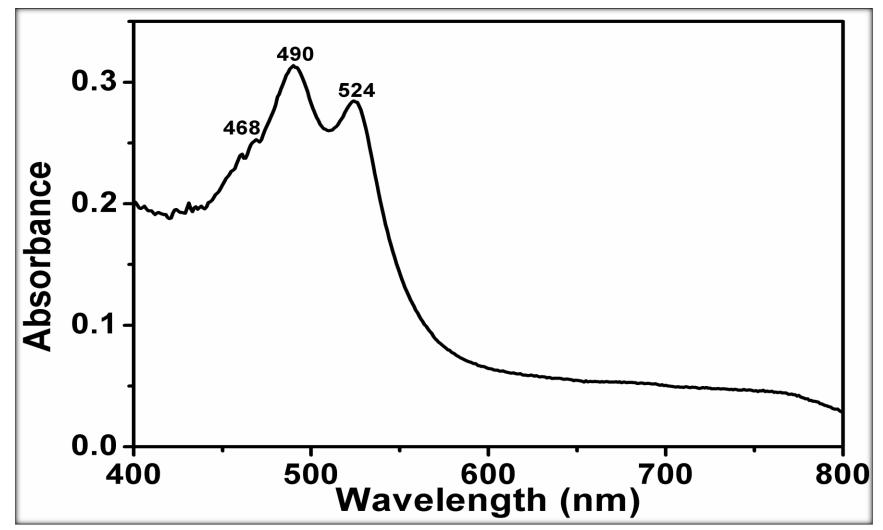


Figure 4.45: LCP4, Absorption Spectrum (NMP)

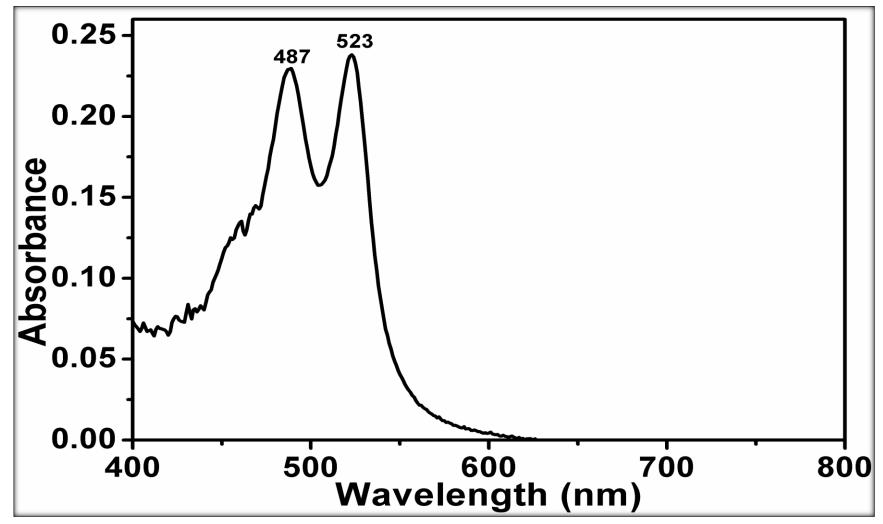


Figure 4.46: LCP4, Absorption Spectrum (DMF)

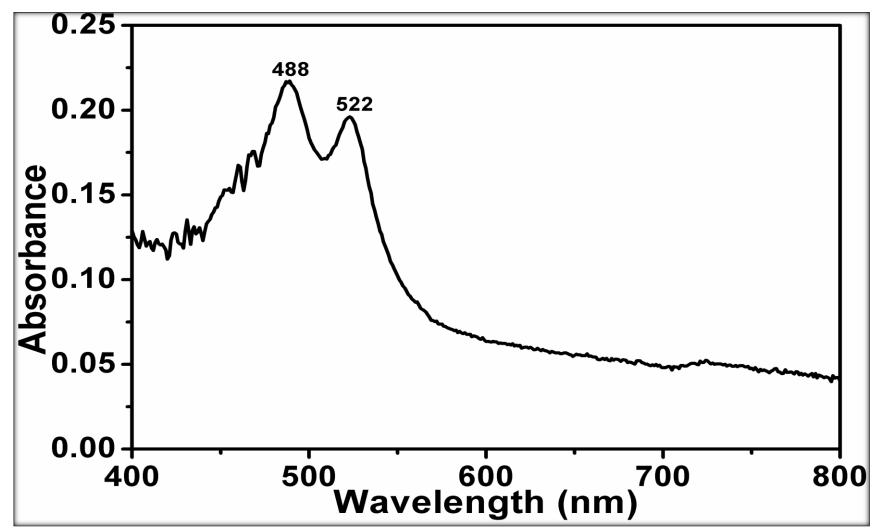


Figure 4.47: LCP4, Absorption Spectrum (DMAc)

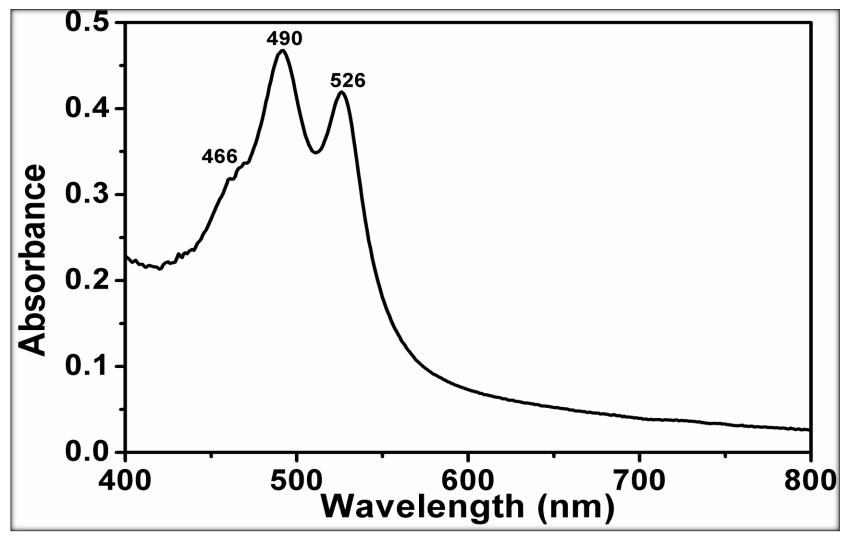


Figure 4.48: LCP4, Absorption Spectrum (DMSO)

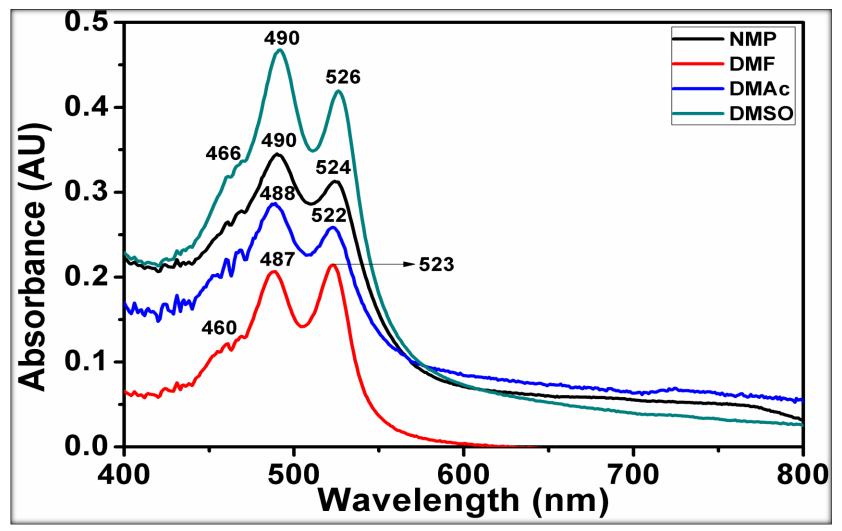


Figure 4.49: LCP4, Absorption Spectra (NMP, DMF, DMAc and DMSO)

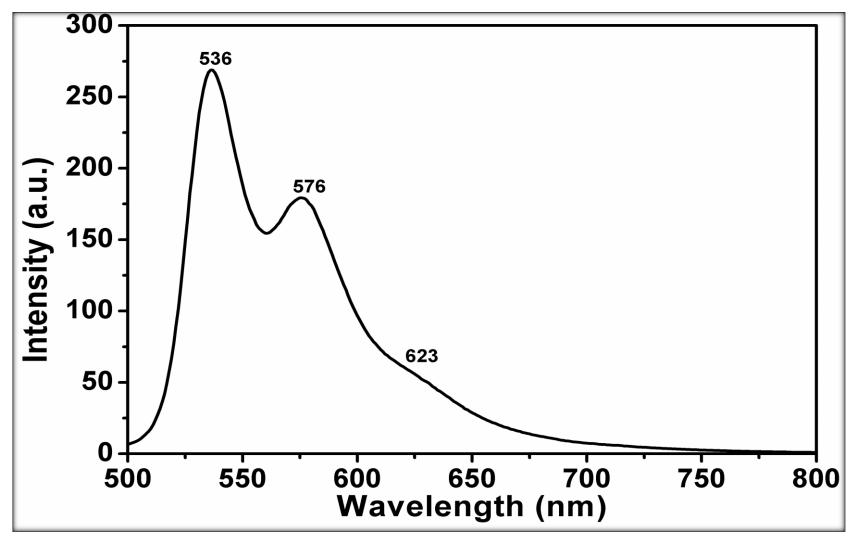


Figure 4.50: LCP4, Emission spectrum (NMP)

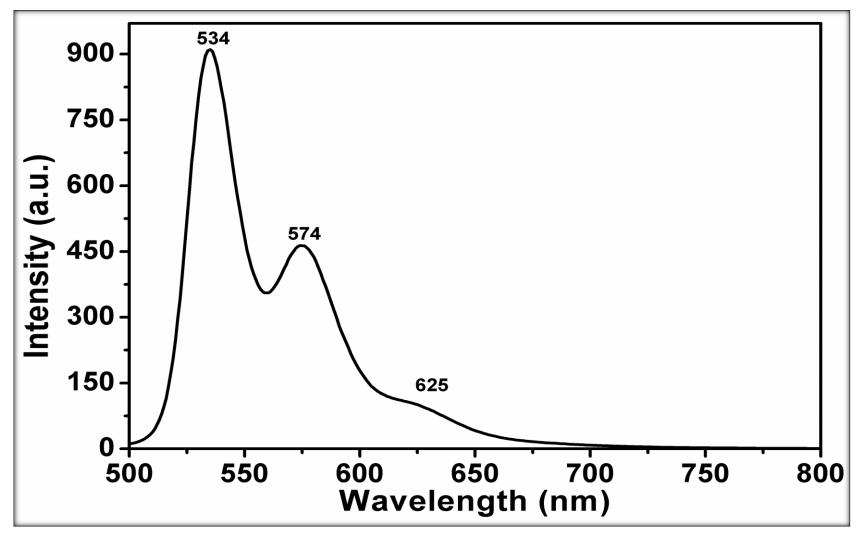


Figure 4.51: LCP4, Emission spectrum (DMF)

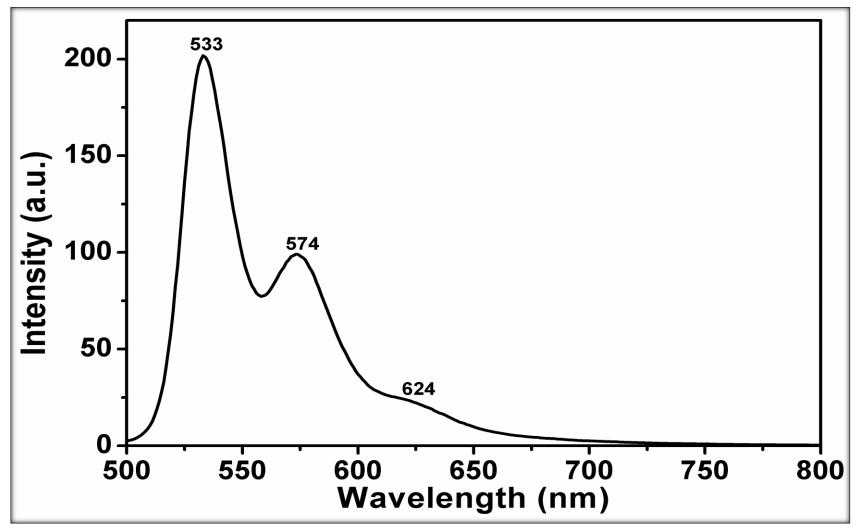


Figure 4.52: LCP4, Emission spectrum (DMAc)

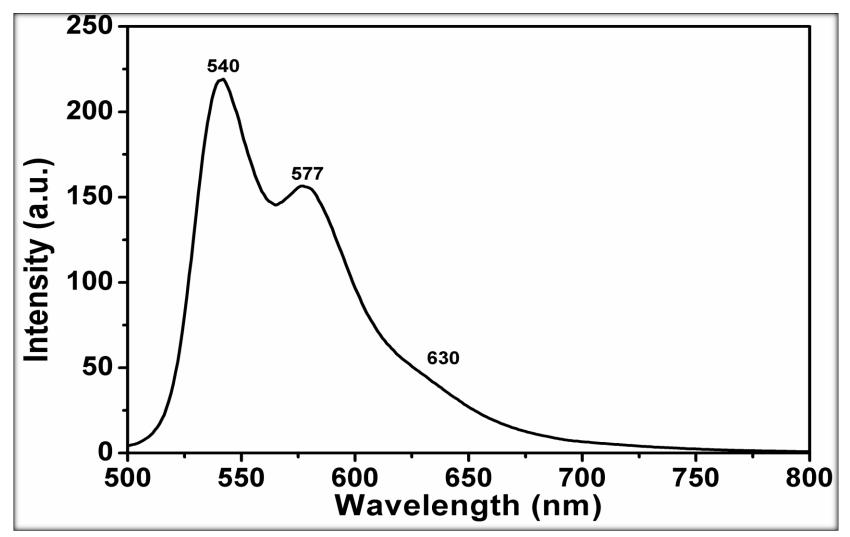


Figure 4.53: LCP4, Emission spectrum (DMSO)

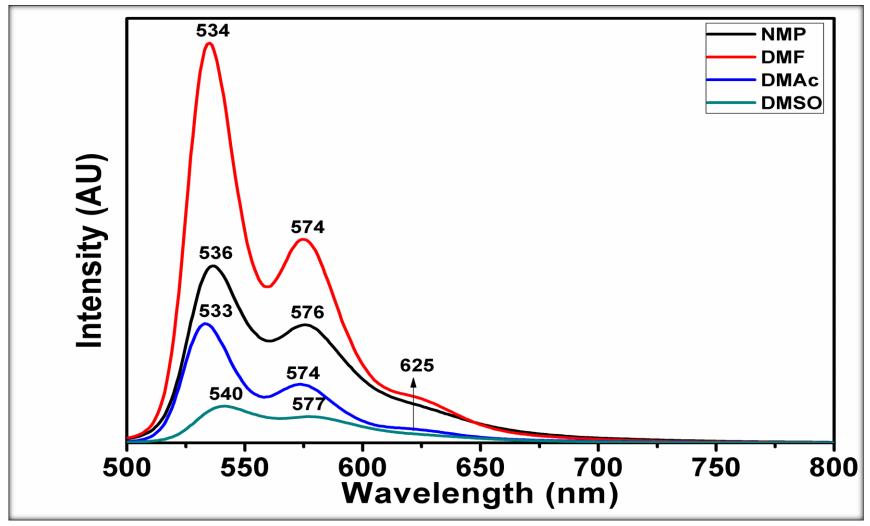


Figure 4.54: LCP4, Emission spectrum (NMP, DMF, DMAc and DMSO)

## Chapter 5

## **RESULTS AND DISCUSSIONS**

#### **5.1 Synthesis and Characterization**

The synthesis of chiral perylene diimides substituted chitosan LCPs were carried out through substitution reaction between an intermediate product *N*-((2*S*)-amino hexanoic acid)-3,4,9,10-perylene tetracarboxylic-3,4-anhydride-9,10-imide LPMI [10] and commercially existing chitosan (CH) under argon atmosphere. The LCP synthetic route is shown in Scheme 3.1. The mono anhydride part of LPMI molecules reacts with the amine groups of the CH backbone to synthesize LPCs. The structure and the properties of the LPCs have been well investigated by FT-IR, UV-vis and fluorescence spectroscopy.

## 5.2 Solubility of LCPs

Solubility details of LPMI, CH, LCP1, LCP2, LCP3 and LCP4 in common organic solvents are illustrated in Table 5.1 and Table 5.2. Chitosan polymer is insoluble in water or common solvent. However, it is soluble in aqueous dilute acids like 1 % HCl and 1 % CH<sub>3</sub>COOH and the solubility is good in low pH. LPMI is insoluble in polar and nonpolar organic solvents except NMP (N-methyl pyrrolidinone). The solubility of LPMI was limited because of the planarity and rigid structure of the monoimide.

Interestingly, Lysine perylene diimides conjugated chitosan polymers have shown better solubilities in polar aprotic solvents like dimethyl sulfoxide, N,N-dimethyl formamide, dimethyl acetamide and N-methyl pyrrolidinone at the 60 <sup>o</sup>C in comparison with CH and LPMI. However, it is observed that, solubility increases from LCP1 toward LCP4.

Significant efforts have been spent to synthesize soluble fluorescent chitosan polymers which might be worthily applied in several biomedical applications.

Solvent		СН		
Solvent	Solubility	Color	Solubility	Color
CHCI <sub>3</sub>	()	-	()	-
EtAc	()	-	()	-
CH <sub>2</sub> Cl <sub>2</sub>	()	-	()	-
Acetone	()	-	()	-
EtOH	()	-	()	-
МеОН	()	-	()	-
NMP	(++)	Orange	()	-
DMF	()	-	()	-
CH <sub>3</sub> CN	()	-	()	-
DMAc	()	-	()	-
DMSO	()	-	()	-
H <sub>2</sub> O	()	-	()	-
KOH (3%)	(++)	Dark red	()	-
NaOH (5%)	()	-	()	-
Acetic acid (1%)	()	-	(+ +)	-

Table 5.1: Solubility properties of LPMI\* and CH  $\,$ 

(+ +): Soluble, (- -): not soluble at room temperature,\*: [9].

solvent	LC	P1	LC	CP2	LCI	23	L	C <b>P4</b>
	Solubility	Color	Solubility	Color	Solubility	Color	Solubility	Color
CHCI <sub>3</sub>	()	-	()	-	()	-	()	-
EtAc	()	-	()	-	()	-	()	-
CH <sub>2</sub> CI <sub>2</sub>	()	-	()	-	()	-	()	-
Acetone	()	-	()	-	()	-	()	-
EtOH	()	-	()	-	()	-	()	-
MeOH	()	-	()	-	()	-	(- +)*	PaleYellow
NMP	(- +)*	Pale Pink	(- +)	Pale Pink	(- +)	Pale Pink	(- +)	Pale Pink
DMF	(- +)*	Pale Pink	(- +)*	Pale Pink	(- +)	Pale Pink	(- +)	Pale Pink
CH <sub>3</sub> CN	()	-	()	-	()	-	(- +)	-
DMAc	(-+)*	Pale Pink	(- +)*	Pale Pink	(- +)*	Pale Pink	(- +)*	Pale Pink
DMSO	(-+)*	Pale Pink	(- +)*	Pale Pink	(- +)	Pale Pink	(- +)	Pale Pink
$H_2O$	()	-	()	-	()	-	()	-
KOH (3%)	(- +)*	Pale Pink	(- +)*	Pale Pink	(- +)*	Pale Pink	(- +)*	Pale Pink
Acetic acid (1%)	()	-	()	-	()	-	()	-

Table 5.2: Solubility properties of	of LCP1, LCP2, LCP3 and LCP4

 $\overline{(-+)}$ : partially soluble, \* solubility increase upon heating at 60 ° C, (--): insolub

### **5.3 Analysis of FTIR Spectra**

Chemical structure of LPMI and CH were assigned and analyzed by using FTIR spectroscopy in terms of functional groups. As shown in Figure 4.5 and Figure 4.6, the FTIR spectrum of CH has distinctive band at 3404 cm<sup>-1</sup> (NH<sub>2</sub> O-H stretch); 2872 cm<sup>-1</sup> (aliphatic C-H stretch); 1658 cm<sup>-1</sup> (Amide I C=O stretch); 1599 cm<sup>-1</sup> (Amide II. N-H stretch); 1379 (C-N stretch); 1154 and 1081 cm<sup>-1</sup> (pyranose). Also, as given in Figure 4.5, LPMI has a unique band at 3407 cm<sup>-1</sup> (NH<sub>2</sub> O-H stretch); 3072 cm<sup>-1</sup> (aromatic C-H stretch); 2862 cm<sup>-1</sup> (aliphatic C-H stretch); 1766-1729 cm<sup>-1</sup> (anhydride C=O stretch); 1692,1650 cm<sup>-1</sup> (imide C=O stretch); 1593 cm<sup>-1</sup> (Ar C=C stretch); 1342 cm<sup>-1</sup> (C-N stretch); 1017 cm<sup>-1</sup> (C-O stretch); 856, 809, 738 cm<sup>-1</sup> (Ar C-H bend). The covalent bonding of the LPMI to chitosan back bone was demonstrated by FT-IR spectroscopy. Figure 4.7, 4.8, 4.9 and 4.10 presented FTIR spectra of synthesized compounds. It is clear that, new Polyimide formed. There are two significant characteristic changes of LPMI bands. Firstly, the disappearance of anhydride C=O stretching bands at around 1766 cm<sup>-1</sup>. Secondly, the distinctive peak of the C-O-C stretching at around 1017 cm<sup>-1</sup> had disappeared. On the Other hand O-H groups of chitosan has shifted to around 3385 cm<sup>-1</sup> from 3407 cm<sup>-1</sup>.

As shown in Figure 4.7, the FT-IR spectrum of LCP1 has distinctive bands at 3384 cm<sup>-1</sup>(NH<sub>2</sub> O-H Stretch); 2922 cm<sup>-1</sup> (aromatic C-H stretch); 2850 cm<sup>-1</sup> (aliphatic C-H stretch); 1687, 1656 cm<sup>-1</sup> (imide C=O stretch); 1597 cm<sup>-1</sup> (conjugated C=C stretch) and 1336 cm<sup>-1</sup> (C-N stretch); 1069 cm<sup>-1</sup> (C-O stretch); 827, 811, 739 cm<sup>-1</sup> (Ar C-H bend).

The IR spectrum of LCP2, as given in Figure 4.8 has unique peaks at 3391 cm<sup>-1</sup> (NH<sub>2</sub> O-H stretch); 2920 cm<sup>-1</sup> (aromatic C-H stretch); 2849 cm<sup>-1</sup> (aliphatic C-H stretch); 1689, 1654 cm<sup>-1</sup> (imide C=O stretch); 1597 cm<sup>-1</sup> (conjugated C=C stretch) and 1342 cm<sup>-1</sup> (C-N stretch); 1041 cm<sup>-1</sup> (C-O stretch); 811, 747 cm<sup>-1</sup> (Ar C-H bend).

As shown in Figure 4.9, the IR spectrum of LCP3 has distinctive bands at 3386 cm<sup>-1</sup> (NH<sub>2</sub>O-H Stretch); 2920 cm<sup>-1</sup> (aromatic C-H stretch); 2851 cm<sup>-1</sup> (aliphatic C-H stretch); 1691, 1655 cm<sup>-1</sup> (imide C=O stretch); 1593 cm<sup>-1</sup> (conjugated C=C stretch) and 1342 cm<sup>-1</sup> (C-N stretch); 1064 cm<sup>-1</sup> (C-O stretch); 809, 746 cm<sup>-1</sup> (Ar C-H bend).

The IR spectrum of LCP4, as given in Figure 4.10 has unique peaks at 3386 cm<sup>-1</sup> (NH<sub>2</sub> O-H stretch); 2922 cm<sup>-1</sup> (aromatic C-H stretch); 2852 cm<sup>-1</sup> (aliphatic C-H stretch); 1692, 1655 cm<sup>-1</sup> (imide C=O stretch); 1593 cm<sup>-1</sup> (conjugate C=C stretch) and 1342 cm<sup>-1</sup> (C-N stretch); 1066 cm<sup>-1</sup> (C-O stretch); 810, 746 cm<sup>-1</sup> (Ar C-H bend).

#### **5.4 Absorption and Fluorescence Properties**

Optical characteristics of LCPs were investigated in NMP, DMF, DMAc and DMSO through UV-vis absorption and emission spectroscopy. The absorption spectra of the LCP polymers in different solvents were shown in Figure 4.11- Figure 4.54.

LCP polymers exhibited red shifted peaks (bathochromic shift) in polar aprotic solvents. Also, it was observed that, the bathochromic shifts slightly increased with increasing solvent polarity. The absorption spectra of LCPs shown two characteristic peaks in the range of 485-525 nm which related respectively to 0-1 and 0-0 (vibronic transitions). On the other hand, the fluorescence spectra of LCPs exhibits two distinctive peaks at around 530 and 570 nm and one shoulder approximately at 625 nm. Small stokes shifts were noticed. Table 5.3-Table 5.6 represent the absorption and emission bands, Stokes shifts and intensity ratio of LCPs.

The emission spectrum of all LCPs was determined at  $\lambda_{exc} = 485$  nm and the related fluorescence quantum yield were measured in DMF using *N*,*N*'-didodecyl-3,4,9,10-perylenebis(dicarboximide) in CHL.

### **5.4.1 Optical properties of LCP1**

Solvent	Uv-vis (λ <sub>max</sub> , nm)	Flu.Emis _(λ <sub>max</sub> , nm)	Stokes shift _(Δλ, nm)	Intensity Ratio
NMP	488, 524	535, 573	11	0.84
DMF	487, 522	534, 574, 625	12	1.02
DMAc	488, 523	533, 572, 624	10	0.97
DMSO	490, 526	538, 577, 627	12	1.0

Table 5.3: The maximum wavelengths of absorption and fluorescence of LCP1

The UV-vis spectrum of LCP1 in NMP (Figure 4.15) shows two characteristic bands at 524 nm ( $0 \rightarrow 0$ ) and 488 nm ( $0 \rightarrow 1$ ) which related to vibronic transition of  $\pi$ - $\pi$ \* of perylene molecule. As well as, emission spectra was investigated in NMP, two characteristic fluorescence peaks were observed at 535 and 573 nm with a 11 nm Stokes shift as shown in Figure 4.20. The intensity ratio in NMP shows that it is weakly aggregated.

The UV-vis absorption spectrum of LCP1 in DMF, has two distinct peaks at 487 and 522 nm as represented in Figure 4.16. In the emission spectrum of LCP1 in DMF, two bands were observed at 534, 574 nm and 1 shoulder at 625 nm with 12 nm Stokes shift as shown in Figure 4.21.

In the DMAc, the UV-vis absorption of LCP1 represented two peaks at 488 and 523 nm with a weak aggregates as presented in Figure 4.17. The emission spectrum in DMAc shows two bands and a shoulder at 533, 572 and 624 nm, respectively. As shown in Figure 4.22 with 10 nm Stokes.

In DMSO, the absorption spectrum of LCP1 has two distinct peaks at 490 and 526 nm with slightly aggregated as represented in Figure 4.18. The fluorescence spectrum of LCP1 in DMSO, two bands and one shoulder peak were noticed at 538, 578 and 626 nm as represented in Figure 4.23 with 12 nm Stokes shift.

The comparison of absorption and fluorescence spectra and Stokes shift of LCP1 in NMP, DMF, DMAc and DMSO were demonstrated in Figure 4.15 and Figure 4.20.

### **5.4.2 Optical properties of LCP2**

 Table 5.4: The maximum wavelengths of UV-vis absorption and fluorescence of LCP2

Solvent	Uv-vis (λ <sub>max</sub> , nm)	Flu.Emis (λ <sub>max</sub> , nm)	Stokes shift (Δλ, nm)	Intensity Ratio
NMP	488, 522	536, 574, 624	14	0.97
DMF	456, 487, 522	534, 574, 624	12	1.08
DMAc	487, 522	533, 574, 625	11	0.94
DMSO	459, 490, 526	538, 578, 626	12	1.076

The UV-vis absorbance spectrum of LCP2 in NMP has two absorption peaks at 488 and 522 nm with slightly aggregated as shown in Figure 4.25. The fluorescence spectrum is obtained in NMP with the emission peaks at 536 and 574 nm and a shoulder at 624 nm. Stokes shift was found 14 nm as shown in Figure 4.30.

The UV-vis absorbance spectrum of LCP2 in DMF has three distinct absorption peaks at 456, 487 and 522 nm as shown in Figure 4.26. In the fluorescence spectrum,

three peaks at 534, 574 and 624 nm were indicated with 12 nm Stokes shift as shown in Figure 4.31.

The UV-vis absorption spectrum of LCP2 in DMAc, two peaks were obtained at 487 and 522 nm with slightly aggregated as indicated in Figure 4.27. The emission spectrum of LCP2 in DMAc, two band and a shoulder were noticed at 533, 574 and 625 nm respectively. Stokes shift was found 11 nm as show in Figure 4.32.

In DMSO, the UV-vis absorption spectrum of LCP2 has three characteristic band at 459, 490 and 526 nm with slightly aggregation as shown in Figure 4.28. The fluorescence spectra of LCP2 has three peaks at 533, 578 and 626 nm with 12 nm Stokes shift as defined in Figure 4.33.

The comparison of absorption and fluorescence spectra and Stokes shift of LCP2 in NMP, DMF, DMAc and DMSO were demonstrated in Figure 4.29 and Figure 4.34.

## 5.4.3 Optical properties of LCP3

Solvent	Uv-vis (λ <sub>max</sub> , nm)	Flu.Emis (λ <sub>max</sub> , nm)	Stokes shifts (Δλ, nm)	Intensity Ratio
NMP	460, 490, 524	535, 575, 625	11	0.93
DMF	460, 488, 522	534, 575, 625	12	1.08
DMAc	460, 489, 524	533, 573, 623	9	0.91
DMSO	460, 492, 526	539, 577, 623	13	0.88

Table 5.5: The maximum wavelengths of UV-vis absorption and fluorescence of LCP3

The UV-vis absorption spectrum taken in NMP, has three distinct absorption peaks at 460, 490 and 524 nm with a slight aggregate as shown in Figure 4.35. In the fluorescence spectrum of LCP3 in NMP, two bands and a shoulder were noticed at 535, 575 and 625 nm, as defined in Figure 4.40 with 11 nm Stokes shift.

The absorption spectrum of LCP3 in DMF has three characteristic bands at 460, 488 and 522 nm as represented in Figure 4.36. The emission spectrum of LCP3 in DMF, two bands and one shoulder were recognized at 533, 573 and 623 nm with 9 nm Stokes shift as defined in Figure 4.41.

The UV-vis absorption spectrum of LCP3 taken in DMAc has shown two absorbance peaks at 489 and 524 nm with a slight aggregates as shown in Figure 4.37. fluores-cence spectrum of LCP3 in DMAc has three peaks at 533, 573 and 623 nm, respectively, as represented in Figure 4.42 with 9 nm Stokes shift.

In DMSO, the absorption peak at 492 and 526 nm were observed with aggregation (absorption ratio = 0.88) for LCP3 and specified in Figure 4.38. The fluorescence spectrum of LCP3 has three peaks at 534, 575 and 622 nm with 13 nm Stokes shift as defined in Figure 4.43.

The comparison of absorption and fluorescence spectra and Stokes shift of LCP3 in NMP, DMF, DMAc and DMSO were shown in Figure 4.39 and Figure 4.44.

### **5.4.4 Optical Properties of LCP4**

Solvent	Uv-vis (λ <sub>max</sub> , nm)	Flu.Emis (λ <sub>max</sub> , nm)	Stokesshifts (Δλ, nm)	Intensity Ratio
NMP	468, 490, 524	536, 576, 623	12	0.858
DMF	487, 523	534, 574, 625	11	1.04
DMAc	488, 522	533, 574, 624	11	0.86
DMSO	466, 490, 526	540, 577, 630	14	0.889

Table 5.6: The maximum wavelengths of UV-vis absorption and fluorescence of LCP4

Three characteristic bands at 468, 490 and 524 nm were observed with slightly aggregation in the UV-vis absorption spectrum of LCP4 in NMP as presented in Figure 4.45. in the emission spectra of LCP4 in NMP, two characteristic peak were observed at 535 and 575 nm and a shoulder at 625 nm with 11 nm Stoke shift as represented in Figure 4.50.

The UV-vis absorption spectrum of LCP4 in DMF, two peaks were obtained at 487 and 523 nm with a slight aggregate as represented in Figure 4.46. The emission spectra LCP4 in DMF, two peaks and a shoulder were observed at 534, 574 and 625 nm with 11 nm Stocke shift as represented in Figure 4.51.

LCP4 in DMAc, the UV-vis absorption spectrum of LCP4 has two distinctive band at 488 and 522 nm with a slight aggregate as shown in Figure 4.47. The emission spectrum of LCP4 in DMAc, two bands and one shoulder were got at 533, 574 and 624 nm, respectively, with 14 nm Stoke shift as defined in Figure 4.52. In the UV-vis absorption spectra of LCP4 in DMSO. Three characteristic bands at 466, 490 and 526 nm were observed with slight aggregates as shown in Figure 4.48. Fluorescence spectrum of LCP4 in DMSO, two peaks and a shoulder peak were defined at 540,577 and 630 nm with 14 nm stoke shift as defined in Figure 4.53.

Absorption and fluorescence spectra and Stokes shifts of LCP4 in NMP, DMF, DMAc and DMSO were demonstrated in Figure 4.49 and Figure 4.54.

On the other hand, in chapter 4 maximum absorption wavelengths (nm), fluorescence quantum yield ( $\lambda_{exc} = 485$  nm), half-width (cm<sup>-1</sup>), singlet energy (kcal.mol<sup>-1</sup>), optical band gap energy (eV), absorption intensity ratios and Stokes shift (nm) data were determined for all synthezied compounds in various solvents and are summarized in the Table 5.7- Table 5.10.

All LPCs have low fluorescence quantum yield in NMP (0.21, 0.38, 0.25, 0.28, for LCP1 to LCP4 respectively) due to aggregation. The highest fluorescent quantum yield observed in DMF (0.50, 0.70, 0.73, 0.87 respectively).

Solvent	$\lambda_{max}$ (nm)	$\Phi_{\mathrm{f}}$		E <sub>s</sub> (kcal.mol <sup>-1</sup> )		$\mathbf{A}^{0\to 0} / \mathbf{A}^{0\to 1}$	Δλ (nm)
NMP	524	0.21	1480.7	54.58	2.094	0.84	11
DMF	522	0.50	1391.1	54.79	2.214	1.02	12
DMAc	523	0.12	2126.7	54.68	2.067	0.97	10
DMSO	526	0.1	1503.2	54.37	2.145	1.0	12

 Table 5.7: Optical and photochemical properties of LCP1

Table 5.8: Optical and photochemical properties of LCP2

Solvent	λ <sub>max</sub> (nm)	$\Phi_{\rm f}$	$\Delta \bar{v}_{1/2}$ (cm <sup>-1</sup> )	E <sub>s</sub> (kcal.mol <sup>-1</sup> )		$\mathbf{A}^{0\to 0} / \mathbf{A}^{0\to 1}$	Δλ (nm)
NMP	522	0.38	1593.09	54.79	2.160	0.93	11
DMF	522	0.70	1253.9	54.79	2.230	1.08	12
DMAc	522	0.23	1536.25	54.79	2.138	0.91	9
DMSO	526	0.2	1601.07	54.37	2.305	0.88	13

Table 5.9: Optical and photochemical properties of LCP3

Solvent	$\lambda_{max}$ (nm)	$\Phi_{\mathrm{f}}$	$\frac{\Delta \bar{\upsilon}_{1/2}}{(\text{cm}^{-1})}$	E <sub>s</sub> (kcal.mol <sup>-1</sup> )	Eg (eV)	$\mathbf{A}^{0\to 0} / \mathbf{A}^{0\to 1}$	Δλ (nm)
NMP	524	0.25	1581.03	54.58	2.160	0.93	11
DMF	522	0.73	1322.75	54.79	2.230	1.08	12
DMAc	524	0.12	1503.22	54.58	2.138	0.91	9
DMSO	526	0.09	1349.44	54.37	2.305	0.88	13

Table 5.10: Optical and photochemical properties of LCP4

Solvent	$\lambda_{max}$ (nm)	$\Phi_{\mathrm{f}}$	$\Delta \bar{v}_{1/2}$ (cm <sup>-1</sup> )	E <sub>s</sub> (kcal.mol <sup>-1</sup> )	Eg (eV)	$\mathbf{A}^{0\to 0} / \mathbf{A}^{0\to 1}$	Δλ (nm)
NMP	524	0.22	1302.68	54.58	2.175	0.858	12
DMF	523	0.87	1184.55	54.68	2.263	1.04	11
DMAc	522	0.26	1447.83	54.79	2.168	0.86	11
DMSO	526	0.18	1292.8	54.37	2.206	0.889	14

## Chapter 6

# CONCLUSION

In this thesis, four novel comb shaped chiral amphiphilic polymers were synthesized successfully by substitution reaction between low molecular weight chitosan (CH) and different amounts of N-((2S)-amino hexanoic acid)-3,4,9,10-perylene tetra-caboxylic-3,4-anhydride-9,10-imide (LPMI). The structure and optical properties of fluorescent chiral chitosan polymers (LCPs) were characterized by FTIR, UV-vis and emission spectroscopy.

Chitosan suffer from limited solubility in either water or organic solvents which limits its processability in various fields. However, the synthesized fluorescent chiral amphiphilic polymers (LCP1, LCP2, LCP3 and LCP4) showed to some extent good solubility in aprotic polar solvents such as NMP, DMF, DMAc and DMSO that could be important in biomedical applications.

A novel comb shaped and chiral amphiphilic polymer is prepared for pharmaceutical applications including hydrophobic drug solubilisation due to the property of amphiphilic polymer to form micelles.

Spectroscopic properties of LCPs were investigated by UV-vis absorption and emission spectroscopy. Interestingly, unlike chitosan which has no UV absorption, the combination of hydrophilic chitosan with hydrophobic LPMI which have comb shaped structure showed optical and photochemical properties because of extension of  $\pi$ - $\pi$  conjugations. Generally, the UV spectra of lysine perylene mono imide (LPMI) substituted chitosan polymer represented three characteristic peaks with slightly aggregation in aprotic polar solvents such as NMP, DMF, DMAc and DMSO. The absorption bands were shown a red shifted (bathochromically shift) with increasing solvent polarity. As well as, the fluorescence spectra of compound show three emission peaks of  $0 \rightarrow 0$ ,  $0 \rightarrow 1$  and  $0 \rightarrow 2$  transitions with small Stokes shifts.

Optical and photochemical properties of the four amphiphilic chitosan polymers have been investigated. It was noticed the differences between the polymers owing to the differences in the intermolecular interaction for each polymer.

In conclusion, four novel comb shaped chiral amphiphilic chitosan polymers having fluorescent properties could be useful compounds for drug delivery system.

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APPENDIX

# Appendix A: Curriculum Vitae

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